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**On hardness of multilinearization,  
and VNP-completeness  
in characteristics two**

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# On hardness of multilinearization, and VNP-completeness in characteristics two

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## Abstract

For a boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , let  $\hat{f}$  be the unique multilinear polynomial such that  $f(x) = \hat{f}(x)$  holds for every  $x \in \{0, 1\}^n$ . We show that, assuming  $\text{VP} \neq \text{VNP}$ , there exists a polynomial-time computable  $f$  such that  $\hat{f}$  requires super-polynomial arithmetic circuits. In fact, this  $f$  can be taken as a monotone 2-CNF, or a product of affine functions.

This holds over any field. In order to prove the results in characteristics two, we design new VNP-complete families in this characteristics. This includes the polynomial  $\text{EC}_n$  counting edge covers in a graph, and the polynomial  $\text{mclique}_n$  counting cliques in a graph with deleted perfect matching. They both correspond to polynomial-time decidable problems, a phenomenon previously encountered only in characteristics  $\neq 2$ .

## 1 Introduction

Arithmetic circuit is a standard model for computing polynomials over a field. It resembles a boolean circuit, except that an arithmetic circuit uses  $+$ ,  $\times$  as basic operations. The two most familiar arithmetic complexity classes, introduced by Valiant [10], are VP and VNP, and resemble the boolean classes P/poly and NP/poly. (For more details, we point the reader to, e.g., [7, 3].) Arguably, arithmetic circuits are better understood than boolean ones: several results which hold in the arithmetic setting have no known counterpart in the boolean world. Most notably, a polynomial-size arithmetic circuit computing a polynomial of polynomially-bounded degree can be simulated by a circuit of polynomial size and  $O(\log^2 n)$  depth, see [9]. In the boolean setting, this would amount to asserting  $\text{P/poly} = \text{NC}_2/\text{poly}$ . Moreover, main open problems in arithmetic complexity – such as proving super-polynomial lower bounds on circuit size of an explicit polynomial – can be seen as special cases of the corresponding boolean problems, and are therefore considered easier (at least in a finite underlying field). Hence, it would be desirable to have a means of translating results from arithmetic to boolean complexity.

One such possibility<sup>1</sup> is the following. With a boolean function  $f$ , associate the unique multilinear polynomial  $\hat{f}$  which takes the same values as  $f$  on 0,1-inputs. Can it be the case that  $\hat{f}$  has a polynomial size *arithmetic* circuit whenever  $f$  has polynomial size *boolean* circuit? This would have quite interesting consequences, including  $\text{P/poly} = \text{NC}_2/\text{poly}$  or that, in principle, arithmetic lower bounds imply boolean lower ones. Not surprisingly, we show that this is not the case: assuming  $\text{VP} \neq \text{VNP}$ , there exists a polynomial-time computable boolean function  $f$  such that  $\hat{f}$  requires superpolynomial arithmetic circuits. Moreover, the function  $f$  can be very simple, a monotone 2-CNF or a product of linear functions over  $\mathbb{F}_2$ . The converse also holds: if  $\text{VP} = \text{VNP}$  then  $\hat{f}$  has complexity polynomial in that of  $f$ . These results are similar to the VNP-dichotomy theorem in [1].

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<sup>1</sup>Suggested to the author by A. Rao

The above holds over any underlying field. We observe that the results are easy in characteristics different from 2, whereas characteristic 2 requires much more work. This is a frequent phenomenon in arithmetic complexity: for example, completeness results in Burgisser’s monograph [2] deal almost exquisitely with  $\text{char} \neq 2$ , and similarly for the dichotomy in [1]. However, this is not caused by a pathological nature of  $\text{char} = 2$ , but rather by the lack of examples of VNP-complete families. In [10], Valiant has shown that the permanent polynomial,  $\text{perm}_n$ , is VNP-complete over any field of characteristics  $\neq 2$ , and the Hamiltonian cycle polynomial,  $\text{HC}_n$ , is complete over any field. The permanent counts the number of perfect matchings in a bipartite graph. In view of its simplicity, it has become synonymous with VNP in  $\text{char} \neq 2$ .  $\text{HC}_n$  counts the number of Hamiltonian cycles in a graph, and is much more complicated than  $\text{perm}_n$ . One difference is the difficulty of the underlying decision problems: we can decide in polynomial time whether a graph has a perfect matching, whereas testing for a Hamiltonian cycle is NP-hard. This means that it is easier to deduce completeness of other polynomials by a reduction to  $\text{perm}_n$ , and an abundance of such families was presented in [2]. To the author’s knowledge,  $\text{HC}_n$  was the only previously known VNP-complete family in characteristics two.

In this paper, we fill the gap by providing several new examples of VNP-complete families in characteristics two. This includes the polynomial  $\text{clique}_n^*$  which counts cliques of all sizes in a graph, the polynomial  $\text{mclique}_n$  which counts  $n$ -cliques in  $2n$ -vertex graph with a deleted matching, or the edge cover polynomial. The latter families correspond to polynomial-time decision problems. We do not deduce VNP-completeness from the completeness of  $\text{HC}_n$ , but rather employ the  $\oplus\text{P}$ -completeness proof of  $\oplus\text{2SAT}$ , as given by Valiant in [11].

## 2 Preliminaries

**Polynomials and arithmetic circuits** Let  $\mathbb{F}$  be field. A polynomial  $f$  over  $\mathbb{F}$  in variables  $x_1, \dots, x_n$  is a finite sum of the form  $\sum_J c_J x^J$ , where  $J = \langle j_1, \dots, j_n \rangle \in \mathbb{N}^n$ ,  $c_J \in \mathbb{F}$  and  $x^J$  denotes the monomial  $\prod_{i \in [n]} x_i^{j_i}$ . The degree of a monomial  $x^J$  is  $\sum_{i \in [n]} j_i$ , and the degree of a polynomial is the maximum degree of a monomial with a non-zero coefficient.

The standard model for computing polynomials over  $\mathbb{F}$  is that of *arithmetic circuit*. An arithmetic circuit starts from the variables  $x_1, \dots, x_n$  and elements of  $\mathbb{F}$ , and computes  $f$  by means of the ring operations  $+$ ,  $\times$ . The exact definition can be found in, e.g., in [7]. We denote

$$C(f) := \text{the size of a smallest arithmetic circuit computing } f.$$

**The classes VP, VNP, completeness and hardness** VP and VNP are the two most interesting complexity classes in arithmetic computation. The definitions are explained in greater detail in [7, 2, 3], and we give just the main points.

A family of polynomials  $\{f_n\} = \{f_n\}_{n \in \mathbb{N}}$  is in VP, if  $f_n$  has polynomially bounded degree and circuit size. The family is in VNP, if  $f_n(x) = \sum_{u \in \{0,1\}^m} g_{t(n)}(u, x)$  where  $t : \mathbb{N} \rightarrow \mathbb{N}$  is polynomially bounded and  $\{g_n\}$  is a family in VP. A polynomial  $f(x_1, \dots, x_n)$  is a *projection* of  $g(y_1, \dots, y_m)$ , if there exist  $a_1, \dots, a_m \in \mathbb{F} \cup \{x_1, \dots, x_n\}$  such that  $f(x_1, \dots, x_n) = g(a_1, \dots, a_m)$ .  $\{g_n\}$  is a *p-projection* of  $\{f_n\}$ , if there exists a polynomially bounded  $t : \mathbb{N} \rightarrow \mathbb{N}$  such that  $g_n$  is a projection of  $f_{t(n)}$  for every  $n$ . A family  $\{f_n\}$  is *VNP-complete*, if it is in VNP and every family in VNP is a *p-projection* of  $\{f_n\}$ . As customary, we will often identify a family  $\{f_n\}$  with the polynomial  $f_n$ .

The best known VNP-complete polynomials are the permanent and the Hamiltonian cycle polynomial

$$\text{perm}_n := \sum_{\sigma} \prod_{i=1}^n x_{i, \sigma(i)}, \quad \text{HC}_n := \sum_{\sigma'} \prod_{i=1}^n x_{i, \sigma(i)},$$

where  $\sigma$  ranges over permutations of  $[n]$  and  $\sigma'$  over all cycles in  $S_n$  (i.e., every monomial in  $\text{HC}_n$  corresponds to a Hamiltonian cycle in the complete directed graph on  $n$  vertices). Valiant [10] has shown that the permanent family is VNP complete over any field of characteristic different from 2, and  $\text{HC}_n$  is VNP-complete over any field.

Our last definition is less standard. We will say that a family  $\{f_n\}$  is *hard for* VNP if for every family  $\{g_n\} \in \text{VNP}$ , there exists a polynomially bounded  $t : \mathbb{N} \rightarrow \mathbb{N}$  and  $c \in \mathbb{N}$  such that

$$C(g_n) = O(n^c \cdot C(f_{t(n)})).$$

Clearly, it is enough to take for  $\{g_n\}$  a VNP-complete family. We do not require that  $g_n$  is somehow reducible to  $f_{t(n)}$ , only that the arithmetic complexity of  $g_n$  is polynomially bounded by that of  $f_{t(n)}$ . In Section 3.1, we will compare this with the more common notion of  $c$ -reduction.

**Notation** For  $v = \langle v_1, \dots, v_n \rangle \in \{0, 1\}^n$ ,  $|v| = \sum_{i=1}^n v_i \in \mathbb{N}$  denotes the number of 1's in  $v$ . If  $x = \langle x_1, \dots, x_n \rangle$  is a vector of variables, we define the polynomials  $x^v$  and  $x_v$  as

$$x^v := \prod_{i:v_i=1} x_i, \quad x_v := \prod_{i:v_i=0} (1 - x_i). \quad (1)$$

We usually write  $x$  as  $\{x_1, \dots, x_n\}$ , identifying  $v \in \{0, 1\}^n$  with a function from  $x$  to  $\{0, 1\}$ .

**Multilinearization** A polynomial  $f$  in variables  $x_1, \dots, x_n$  is *multilinear*, if  $f = \sum_{v \in \{0, 1\}^n} c_v x^v$ . In other words, every monomial containing  $x_i^k$  with  $k > 1$  has zero coefficient in  $f$ . Let  $f$  be a function  $f : \{0, 1\}^n \rightarrow \mathbb{F}$ . The *multilinearization of  $f$*  is the unique multilinear polynomial  $\hat{f}$  over  $\mathbb{F}$  which satisfies  $\hat{f}(v) = f(v)$  for every  $v \in \{0, 1\}^n$ . The multilinearization can be explicitly written as

$$\hat{f}(x_1, \dots, x_n) = \sum_{v \in \{0, 1\}^n} f(v) x^v x_v. \quad (2)$$

A boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is automatically a function  $f : \{0, 1\}^n \rightarrow \mathbb{F} \supseteq \{0, 1\}$ , and the definition applies also in this case. However,  $\hat{f}$  significantly depends on the ambient field  $\mathbb{F}$ .

## 2.1 Main results

We are interested in the arithmetic circuit complexity of computing  $\hat{f}$ , provided  $f$  itself is easy to compute. This is interesting in two cases. First, when  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is a boolean function with a small boolean circuit, or second,  $f$  is a polynomial computable by a small arithmetic circuit. The two cases are not unrelated, since a boolean circuit can be simulated by an arithmetic circuit on 0, 1-inputs (e.g., replace  $\neg x$  by  $1 - x$ ,  $x \wedge y$  by  $x \cdot y$  and  $x \vee y$  by  $xy - x - y + 1$ ).

A monotone 2-CNF is a boolean formula of the form  $\bigwedge_{(i,j) \in A} (x_i \vee x_j)$  for some  $A \subseteq [n] \times [n]$ . In the next section, we prove the following:

**Theorem 1.** *Let  $\mathbb{F}$  be an arbitrary field. For every  $n$ , there exists a boolean function  $\alpha_n : \{0, 1\}^n \rightarrow \{0, 1\}$  which can be computed by a monotone 2-CNF but the family  $\{\alpha_n\}$  is hard for VNP. Moreover, the 2-CNF is polynomial-time constructible and the family  $\{\hat{\alpha}_n\}$  is VNP-complete in  $\text{char}(\mathbb{F}) \neq 2$ .*

This implies:

**Corollary 2.** *Assume that  $\text{VP} \neq \text{VNP}$ . Then there exists  $\{f_n\} \in \text{VP}$  such that  $\{\hat{f}_n\} \notin \text{VP}$ .*

Theorem 1 and Corollary 2 show that boolean functions or polynomials cannot be efficiently multilinearized, unless  $\text{VP} = \text{VNP}$ . The converse also holds<sup>2</sup>:

**Proposition 3.** *Assume that  $\{f_n\}$  is i) a family of polynomials in VNP, or ii) a family of boolean function which is in P/poly. Then  $\{\hat{f}_n\}$  is in VNP.*

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<sup>2</sup>Instead of P/poly, one could have #P/poly.

*Proof.* i) Equation (2) can be written as  $\hat{f} = \sum_{v \in \{0,1\}^n} (f(v) \prod_{i=1}^n (x_i v_i + (1-x_i)(1-v_i)))$ . This shows that  $\{\hat{f}_n\} \in \text{VNP}$ . ii) If  $f : \{0,1\}^n \rightarrow \{0,1\}$  has a boolean circuit of size  $s$ , we can find a polynomial  $f_1$  with an arithmetic circuit of size  $O(s)$  such that  $f(u) = f_1(u)$  for every  $u \in \{0,1\}^n$ . However, this polynomial may have an exponential degree. Instead, encode the boolean circuit as a 3-CNF in  $m = O(s)$  new variables, obtaining a polynomial of degree  $O(s)$  so that  $f_1(u) = \sum_{v \in \{0,1\}^m} f_2(u,v)$  holds for every  $u \in \{0,1\}^n$ , and proceed as in i).  $\square$

Other contributions of this paper are the following.

**Multilinearization of linear products** In Theorem 7, Section 4, we consider  $\hat{f}$  for  $f$  defined as a product of affine functions. We show that this is hard for VNP already when each affine function depends on two variables only. The exception is the two-element field where three variables are necessary.

**VNP-completeness in characteristics two** In Section 5 we provide new examples of VNP-complete families in characteristics two. In Theorem 10, we first prove VNP-completeness of the clique polynomial

$$\text{clique}_n^* = \sum_{A \subseteq [n]} \prod_{i < j \in [n]} x_{i,j}.$$

We use it to deduce completeness of other polynomials in Theorem 13. We focus on families based on polynomial-time decision problems, as well as polynomials whose coefficients can be expressed in terms of CNF's. In particular, the polynomial  $\text{DS}_n$  is used in the proof of Theorem 1. In Section 6, we discuss structural properties of the VNP-families in a greater detail.

### 3 Multilinearization of 2-CNFs

In this section, we prove Theorem 1. In order to appreciate the power of multilinearization, let us first sketch a simple proof of Corollary 2 in  $\text{char}(\mathbb{F}) \neq 2$ . Let  $f_n$  be the polynomial

$$f_n := \prod_{i \in [n]} \sum_{j \in [n]} x_{ij} z_j.$$

Then  $\hat{f}_n = (\prod_{i \in [n]} z_i) \cdot \text{perm}_n + g$ , where  $g$  has degree  $< 2n$ .  $(\prod_{i \in [n]} z_i) \cdot \text{perm}_n$  is homogeneous of degree  $2n$ , and so  $(\prod_{i \in [n]} z_i) \cdot \text{perm}_n$  is the  $2n$ -homogeneous part of  $\hat{f}_n$ . To conclude VNP-hardness, it is enough to recall the following:

**Lemma 4.** *For  $k \in \mathbb{N}$ , let  $f^{(k)}$  be the  $k$ -homogeneous part of the polynomial  $f$ . Then  $f^{(0)}, \dots, f^{(k)}$  can be simultaneously computed by a circuit of size  $O(C(f)k^2)$ .*

This fact traces back to Strassen [8], and appears in various places, including [7].

To prove Theorem 1, we need an appropriate 2-CNF, and the following lemma. The lemma shows that from a multilinear polynomial  $f(x, y)$ , we can easily compute other polynomials such as  $\sum_{v \in \{0,1\}^n} f(v, y)$ .

**Lemma 5.** *Let  $f(x, y)$  be a multilinear polynomial in two disjoint sets of variables  $x, y$ , with  $x = \{x_1, \dots, x_n\}$  and  $C(f(x, y)) = s$ . For every  $r \leq n$ , the following can be computed by circuits of size  $O(sn^2)$ :*

(i).  $\sum_{v \in \{0,1\}^n} f(v, y) x^v, \sum_{v \in \{0,1\}^n, |v|=r} f(v, y) x^v,$

(ii).  $\sum_{v \in \{0,1\}^n} f(v, y), \sum_{v \in \{0,1\}^n, |v|=r} f(v, y)$

Moreover, if  $\text{char}(\mathbb{F}) \neq 2$ , we have  $\sum_{v \in \{0,1\}^n} f(v, y) = 2^n f(1/2, \dots, 1/2, y)$ .

In characteristics  $\neq 2$ , the “moreover” part was observed in [6].

*Proof.* We will suppress the dependance on  $y$ , writing  $f(x)$  instead of  $f(x, y)$ . Accordingly, degree of  $f$  is taken with respect to the variables  $x$ . Since  $f$  is multilinear, it can be written as ( $v$  ranges over  $\{0, 1\}^n$ )

$$f(x) = \sum_v f(v) x^v x_v = \sum_v \left( f(v) \prod_{i:v_i=1} x_i \prod_{i:v_i=0} (1 - x_i) \right). \quad (3)$$

In  $\text{char}(\mathbb{F}) \neq 2$ , if we set  $x_1, \dots, x_n$  to  $1/2$ , we obtain  $x^v x_v = 2^{-n}$ , for every  $v$ . Hence,  $f(1/2, \dots, 1/2) = 2^{-n} \sum_v f(v)$ , concluding the “moreover” part.

To prove (i), recall Lemma 4 and another useful fact, again due to Strassen [8]: if a polynomial  $g$  has degree  $d$  and can be computed by a circuit *with division gates* of size  $s$ , it can be computed by a circuit without divisions of size  $O(sd^2)$ . (Strictly speaking, this holds in infinite fields; in finite fields the complexity may be slightly larger [4].) This said, we claim that

$$\sum_v f(v) x^v = f(x_1/(1+x_1), \dots, x_n/(1+x_n)) \prod_{i \in [n]} (1+x_i). \quad (4)$$

This follows from (3): we have

$$\prod_{i:v_i=1} \frac{x_i}{1+x_i} \prod_{i:v_i=0} \left( 1 - \frac{x_i}{1+x_i} \right) = \prod_{i:v_i=1} x_i \cdot \left( \prod_{i \in [n]} (1+x_i) \right)^{-1} = x^v \left( \prod_{i \in [n]} (1+x_i) \right)^{-1},$$

giving (4). This shows that  $\sum_v f(v) x^v$  has circuit complexity  $O(sn^2)$ . Furthermore,  $\sum_{|v|=r} f(v) x^v$  is the  $r$ -homogeneous part of  $\sum_v f(v) x^v$  – this would give circuit complexity  $O(sn^4)$ . In order to obtain the  $O(sn^2)$  bound, it is enough to reproduce the division elimination proof directly. In (4), replace  $(1+x_i)^{-1}$  by its truncated power series, namely, with  $\lambda(x_i) = \sum_{j=0}^{n-1} (-1)^j x_i^j$ . Then  $\sum_{|v|=r} f(v) x^v$  is the  $r$ -homogeneous part of  $f(x_1 \lambda(x_1), \dots, x_n \lambda(x_n)) \prod_{i \in [n]} (1+x_i)$ .

(ii) follows from (i) by setting  $x_1, \dots, x_n := 1$ . □

*Proof of Theorem 1.* Consider the  $\text{DS}_n$  polynomial defined in (6), Section 5.2, where we will prove its VNP-completeness over any field. It depends on  $m = n(n+1)/2$  variables  $x = \{x_i, x_{j,k} : i \in [n], j < k \in [n]\}$ . The definition can be rewritten as  $\text{DS}_n = \sum_{v \in \{0,1\}^m} \alpha_n(v) x^v$ , where  $\alpha_n$  is the boolean function

$$\alpha_n(y) := \bigwedge_{i < j \in [n]} ((\neg y_{i,j} \vee \neg y_i) \wedge (\neg y_{i,j} \vee \neg y_j)).$$

By Lemma 5 part (i), we have  $C(\text{DS}_n) = O(C(\hat{\alpha}_n)m^2)$ , and hence  $\{\hat{\alpha}_n\}$  is VNP-hard.  $\alpha_n$  is not monotone but rather antimonotone (i.e., all variables are negated). However, switching  $\neg y_a$  to  $y_a$  in  $\alpha_n$  amounts to switching  $y_a$  to  $1 - y_a$  in  $\hat{\alpha}_n$ , and has negligible effect on complexity. We can achieve that  $\alpha_n$  depends on  $n$  variables by reindexing the family.

To prove VNP-completeness in  $\text{char} \neq 2$ , consider the function

$$g_n(x, y, x_0) := x_0 \wedge \alpha_n(y) \wedge \bigwedge_{i \in [n], j < k \in [n]} ((\neg y_i \vee x_i) \wedge (\neg y_{j,k} \vee x_{j,k})).$$

It is easy to see that  $\sum_{v \in \{0,1\}^m} \hat{g}_n(x, v, x_0) = x_0 \text{DS}_n$ . Hence, by the “moreover” part of Lemma 5, we have  $x_0 \text{DS}_n = 2^n \hat{g}_n(x, 1/2, \dots, 1/2, x_0)$  and hence  $\text{DS}_n = \hat{g}_n(x, 1/2, \dots, 1/2, 2^n)$ . That is,  $\text{DS}_n$  is a projection of  $\hat{g}_n$ . The variables  $x, x_0$  occur in  $g_n$  only positively and  $y$  only negatively. However, the  $y$  variables are all in the scope of the boolean sum, and replacing  $\neg y_a$  by  $y_a$  in  $g_n$  yields the same result. □

### 3.1 Comments

In the proof, we used the polynomial  $DS_n$ , since it can be easily expressed in terms of a 2-CNF. In characteristics  $\neq 2$ , we could have used the permanent instead. We can write  $\text{perm}_n(x) = \sum_{|v|=n} x^v f_n(v)$ , where  $f_n$  is an antimonotone 2-CNF. Namely,

$$f_n(y) = \bigwedge_{i_1 \neq i_2, j \in [n]} ((\neg y_{i_1, j} \vee \neg y_{i_2, j}) \wedge (\neg y_{j, i_1} \vee \neg y_{j, i_2})).$$

This would give *hardness* of  $\hat{f}_n$  by Lemma 5 part (i). To obtain VNP-completeness, one can use the *partial permanent* polynomial, defined by

$$\text{perm}_n^* := \sum_{\beta} \prod_{i \in \text{dom}(\beta)} x_{i, \beta(i)},$$

where  $\beta$  ranges over injective partial functions from  $[n]$  to  $[n]$  (the empty product equals 1). That the family  $\text{perm}_n^*$  is VNP-complete in  $\text{char} \neq 2$  was shown in [5, 2]. The advantage of  $\text{perm}_n^*$  is that  $\text{perm}_n^* = \sum_v x^v f_n(v)$  with  $v$  ranging over all of  $\{0, 1\}^{n^2}$ . Furthermore, Theorem 1 in  $\text{char} = 2$  can be proved directly using Proposition 9

The difference between hardness and completeness in Theorem 1 is due to the restricted nature of  $p$ -projections, and the family  $\hat{\alpha}_n$  is complete with respect to more general reductions. In Lemma 5, we need to compute  $\sum_{v \in \{0, 1\}^n} f(v, y)$  from  $f(x, y)$  when  $f$  is multilinear. In characteristics different from two, this can be done by the projection  $x := 1/2, \dots, 1/2$ . In general, the Lemma chiefly relies on computing homogeneous components of  $f(h, y)$ , where  $h$  is a substitution from VP. In infinite field, this will be accommodated by the more general *c-reduction* (introduced in [2]). In this reduction, we think of  $f$  as an oracle and a computation can apply  $+$ ,  $\times$  or  $f$  to previously computed values. By means of interpolation, the homogeneous components of  $f$  can be obtained from  $f$  via *c-reductions* (see [2]). We note:

**Remark 6.** (i). *The polynomial  $\hat{\alpha}_n$  from Theorem 1 can be evaluated in polynomial time on every 0, 1-input. Hence, the family cannot be VNP-complete in  $\mathbb{F}_2$  unless  $\oplus P/poly \subseteq P/poly$  (this is both with respect to  $p$ -projections and  $c$ -reductions).*

(ii). *If  $\mathbb{F}$  is infinite, but of arbitrary characteristics,  $\hat{\alpha}_n$  is VNP-complete with respect to  $c$ -reductions.*

## 4 Multilinearization of linear products

Here, we consider hardness of multilinearization of products of affine functions. An *affine function* over a field  $\mathbb{F}$  is a polynomial of the form  $\sum_{i=1}^n a_i x_i + a_0$  with  $a_0, \dots, a_n \in \mathbb{F}$ . Its *width* is the number of non-zero  $a_i$ 's. The following theorem shows that products of functions of small width are hard to multilinearize.

**Theorem 7.** *Assume that  $\mathbb{F}$  is of size at least three. Then*

(i). *for every  $n$ , there exists a polynomial  $f_n$  in  $n$  variables which is a product of affine functions of width 2, but  $\{\hat{f}_n\}$  is hard for VNP.*

If  $\mathbb{F} = \mathbb{F}_2$ , then

(ii) *the above holds with affine functions of width 3,*

(iii) *if  $f$  is a product of affine functions, each depending on at most 2 variables, then  $C(\hat{f}) = O(n)$ .*

We deduce parts (i) and (ii) from Theorem 1. Let  $\alpha = \alpha_n = \bigwedge_{(i, j) \in A} (x_i \vee x_j)$  be the hard 2-CNF in  $n$  variables.

*Proof of part (i).* This is implied by the following:



**Claim.** *There exists  $h(x_1, x_2)$  which is a product of three affine functions of width 2 such that for every  $x_1, x_2 \in \{0, 1\}$ ,  $x_1 \vee x_2 = h(x_1, x_2)$ .*

*Proof.* Assume that  $\text{char}(\mathbb{F}) \neq 2$ . Then take the product  $2(1 - x_1/2)(1 - x_2/2)(x_1 + x_2)$ . If  $\text{char}(\mathbb{F}) = 2$  but  $|\mathbb{F}| > 2$  then  $\mathbb{F}$  contains the 4-element field  $\mathbb{F}_4$ . Choose two distinct non-zero  $a, b \in \mathbb{F}_4$  and take the product  $(ax_1 + bx_2)^3$ . This works because  $t^4 = t$  for every  $t \in \mathbb{F}_4$ .  $\square$

Instead of the 2-CNF  $\alpha$ , we can take the product  $\prod_{(i,j) \in A} h(x_i, x_j)$ .  $\square$

*Proof of part (ii).* With a disjunction  $x_1 \vee x_2$ , we associate  $L_{x_1, x_2}$ , a system of the three equations

$$z_{01} = x_1 + 1, \quad z_{10} = x_2 + 1, \quad z_{11} = x_1 + x_2 + 1,$$

where  $z_{01}, z_{10}, z_{11}$  are fresh variables. For the hard 2-CNF  $\alpha$ , let  $L := \bigcup_{(i,j) \in A} L_{x_i, x_j}$ . Setting  $k := |A|$ , the system  $L$  depends on  $3k$  extra variables  $z$ .

**Claim.** *For every  $x \in \{0, 1\}^n$  the following are equivalent:*

(i).  $\alpha(x) = 1$

(ii). *there exists  $z \in \{0, 1\}^{3k}$  with  $|z| = k$  such that  $x, z$  is a solution of  $L$  over  $\mathbb{F}_2$ , and such a  $z$  is unique.*

*Proof.*  $L_{x_1, x_2}$  is set up so that the following hold. If  $x_1, x_2, z_{01}, z_{10}, z_{11} \in \{0, 1\}$  is a solution and  $x_1 \vee x_2 = 0$  then  $|z_{01}, z_{10}, z_{11}| = 3$ . If  $x_1 \vee x_2 = 1$  then  $|z_{01}, z_{10}, z_{11}| = 1$ . Hence, every solution  $x, z$  of  $L$  satisfies  $|z| \geq k$  and equality holds iff  $\alpha(x) = 1$ .  $\square$

We can rewrite  $L$  as  $\ell_1 = 1, \dots, \ell_m = 1$ , where every  $\ell_i$  is a linear function of width  $\leq 3$ . Define  $g(x, z) := \prod_{i \in [m]} \ell_i$ . The Claim entails that  $\hat{\alpha}(x)$  can be written as  $\hat{\alpha}(x) = \sum_{z \in \{0, 1\}^{3k}, |z|=k} g(x, z)$ . Therefore,  $\hat{g}$  is VNP-hard by Lemma 5 part (ii).  $\square$

*Proof of part (iii).* Assume that  $f$  is in variables  $x_1, \dots, x_n$  and  $f = f_1 f_2 \cdots f_s$  where each  $f_i$  is an affine function depending on at most 2 variables. Consider the graph  $G$  on vertices  $x_1, \dots, x_n$  defined as follows: there is an edge between  $x_i \neq x_j$  iff there exists  $k \in [s]$  such that  $f_k$  depends on both  $x_i$  and  $x_j$  (i.e.,  $f_k = x_i + x_j$  or  $f_k = x_i + x_j + 1$ ). Suppose  $G$  has connected components  $G_1, \dots, G_r$ . Then  $f = g_1 \cdots g_r$ , where for every  $i$ ,  $g_i$  is the product of the  $f_j$ 's depending on some variable from  $G_i$ . Since  $g_1, \dots, g_r$  depend on disjoint sets of variables, we have  $\hat{f} = \hat{g}_1 \cdots \hat{g}_r$ , and it is enough to multilinearize each  $g_i$  separately. It is therefore sufficient to consider the case when  $G$  is connected. But then there exist at most two  $u \in \{0, 1\}^n$  such that  $f(u) = 1$ . For if we fix  $x_1 \in \{0, 1\}$ , the equations  $f_1 = 1, \dots, f_s = 1$  have at most one solution: a simple path from  $x_1$  to  $x_k$  in  $G$  determines  $x_k$  uniquely. Writing  $\hat{f} = \sum_{v \in \{0, 1\}^n} x^v x_v f(v) = \sum_{v: f(v) \neq 0} f(v) x^v x_v$  gives a circuit of size  $O(n)$ .  $\square$

We note that (ii) and (iii) of the theorem can be stated in a greater generality.

**Remark 8.** (i). *Parts (ii) and (iii) hold for any field  $\mathbb{F}$ , if  $f$  and  $f_n$  are taken as boolean functions defined as conjunctions of affine functions over  $\mathbb{F}_2$ .*

(ii). *Given a set of linear equations over  $\mathbb{F}_2$ , we can count the number of solutions in polynomial time. Hence, the multilinearization in (ii) is easy to evaluate on every 0, 1-input, and cannot be VNP-complete (unless  $\oplus\text{P}/\text{poly} \subseteq \text{P}/\text{poly}$ ).*

## 5 VNP completeness in characteristics two

In this section, we present new VNP-complete families in characteristics two. We emphasize that completeness is understood with respect to  $p$ -projections. The main tool is the following proposition, implicit in [11]. In this paper, Valiant proved  $\oplus\text{P}$ -completeness of  $\oplus\text{2SAT}$ , as well as of several other problems, including counting vertex covers in special kinds of bipartite graphs mod 2. (An *antimonotone* 2-CNF is obtained by negating all variables in a monotone 2-CNF. )

**Proposition 9** ([11]). *Let  $f(x)$  be an  $n$ -variate boolean function computable by a circuit of size  $s$ . Then there exists a monotone (similarly, antimonotone) 2-CNF  $g(x, y)$  in  $m = O(s)$  auxiliary variables  $y$  such that for every  $x \in \{0, 1\}^n$ ,  $f(x) = \sum_{y \in \{0, 1\}^m} g(x, y) \pmod{2}$ .*

*Proof sketch.* First, it is enough to consider the case of  $f$  being a 3-CNF and, second, a single disjunction of three variables or their negations. Consider the disjunction  $f(x, y, z) = \neg x \vee \neg y \vee \neg z$ . Then take the 2-CNF  $g(x, y, z, u)$  which is the conjunction of  $u \vee x, u \vee y, u \vee z$ . The key observation is that if  $f(x, y, z) = 1$ , then  $g(x, y, z, u) = 1$  has unique solution  $u = 1$ , and if  $f(x, y, z) = 0$  then every  $u \in \{0, 1\}$  satisfies  $g(x, y, z, u) = 1$ . Hence,  $f(x, y, z) = \sum_{u \in \{0, 1\}} g(x, y, z, u) \pmod{2}$ , allowing to rewrite a 3-CNF as a 2-CNF. To convert a 2-CNF to a monotone one, we can replace  $x \vee \neg y$  with the conjunction  $x \vee \bar{y}, y \vee \bar{y}, \neg y \vee \bar{y}$ , where the last disjunct can be treated as before.  $\square$

In Section 5.1, we use the proposition to prove VNP-completeness of our first polynomial,  $\text{clique}_n^*$ . In Section 5.2, we use  $\text{clique}_n^*$  to conclude completeness of several other families.

### 5.1 Completeness of $\text{clique}_n^*$

The polynomial  $\text{clique}_n^*$  is defined as

$$\text{clique}_n^* := \sum_{A \subseteq [n]} \prod_{i < j \in A} x_{i,j},$$

where the empty products equal 1. Interpreting the variables as edges in a (simple and undirected) graph on  $n$  vertices,  $\text{clique}_n^*$  counts the number of cliques of all sizes. The polynomial has constant term  $n + 1$ . In some contexts, it is more convenient to have the constant term equal 1, as in  $(\text{clique}_n^* - n)$ . In this modification, VNP-completeness of  $\text{clique}_n^*$  in char  $\neq 2$  was proved in [2].

In the rest of this section, we show:

**Theorem 10.** *The family  $\{\text{clique}_n^*\}$  is VNP-complete over any field.*

It is convenient to think of  $\text{clique}_n^*$  and similar polynomials in terms of edge-weighted graphs. Let  $G = (V, E)$  be a (simple undirected) graph whose edges are weighted by a variable from a set  $x$  or an element of  $\mathbb{F}$ , via the function  $w : E \rightarrow \mathbb{F} \cup x$ . For  $E' \subseteq E$ , the weight of  $E'$  is defined as the product of weights in  $E'$  (empty products equal 1). A clique is a subset  $A$  of  $V$  such that every two distinct vertices in  $A$  are connected by an edge. The weight of a clique is the weight of its edge-set (hence, a clique of size  $\leq 1$  has weight 1). This guarantees that  $\text{clique}_n^*$  equals the sum of weights of all cliques in the complete graph on vertices  $[n]$ , where an edge between  $i, j, i < j$ , is weighted by  $x_{i,j}$ .

**Lemma 11.** *Let  $f(x)$  be an antimonotone 2-CNF in variables  $x = \{x_1, \dots, x_n\}$ . Then there exists a graph  $G = (V, E)$  with  $|V| = O(n)$  and a weight function  $w : E \rightarrow \mathbb{F} \cup x$ , such that*

$$\sum_{u \in \{0, 1\}^n} f(u)x^u = \sum_A w(A), \tag{5}$$

where  $A$  ranges over all cliques of  $G$ .

*Proof.* Assume that  $f$  can be written as a conjunction of clauses  $\mathcal{C} = C_1, \dots, C_m$ , where each  $C_i$  is of the form  $\neg x_i \vee \neg x_j$  with  $i, j \in \{1, \dots, n\}$ . Let  $G$  be the graph whose vertices are  $x_0, x_1, \dots, x_n$ , where  $x_0$  is a new variable not appearing in  $\mathcal{C}$ . There is an edge between  $x_i$  and  $x_j$ ,  $i \neq j$ , iff every clause in  $\mathcal{C}$  is consistent with the assignment  $x_i, x_j := 1$ . (In other words,  $\mathcal{C}$  does not contain  $\neg x_{i'} \vee \neg x_{j'}$  for any  $i', j' \in \{i, j\}$ ). This guarantees a one-to-one correspondence between cliques of  $G$  containing  $x_0$  and satisfying assignments of  $\mathcal{C}$ :  $v \in \{0, 1\}^n$  satisfies  $\mathcal{C}$  iff  $A_v \cup \{x_0\}$  is a clique in  $G$ , where  $A_v := \{x_i : v_i = 1, i \in \{1, \dots, n\}\}$ . Let us weigh the graph as follows: an edge between  $x_0$  and  $x_i$  is weighted by  $x_i$  and all other edges by 1. Hence, the weight of  $A_v \cup \{x_0\}$  is  $\prod_{i \in A_v} x_i = x^v$ . All cliques not containing  $x_0$  have weight 1. In other words, the sum of weights of cliques in  $G$  equals

$$\sum_{v \in \{0,1\}^n} x^v f(v) + a,$$

for some  $a \in \mathbb{F}$ . We can add to  $G$  an isolated edge with weight  $-a - 2$  to obtain  $G'$  with the required property.  $\square$

We can now prove the theorem.

*Proof of Theorem 10.* Clearly, the family is in VNP. The family is complete in char  $\neq 2$  as shown in [2], and it remains to deal with char = 2. We deduce its completeness from VNP-completeness of  $\text{HC}_n$ . The only property of  $\text{HC}_n$  we use is the following: it can be written as  $\text{HC}_n = \sum_{v \in \{0,1\}^{n^2}} f(v)x^v$ , where  $x$  is the vector of its  $n^2$  variables and  $f : \{0, 1\}^{n^2} \rightarrow \{0, 1\}$  is a boolean function of polynomial circuit size. By means of Proposition 9, we can write

$$\text{HC}_n = \sum_{v \in \{0,1\}^{n^2}, u \in \{0,1\}^m} g(v, u)x^v,$$

where  $g$  is an antimonotone 2-CNF,  $m$  is polynomial in  $n$ , and the summation is in characteristics 2. Lemma 11 shows that the polynomial

$$\sum_{v \in \{0,1\}^{n^2}, u \in \{0,1\}^m} g(v, u)x^v y^u$$

is a projection of clique $_k^*$ , with  $k$  polynomial in  $n$ . Setting the variables  $y$  to 1 means that also  $\text{HC}_n$  is a projection of clique $_k^*$ .  $\square$

## 5.2 Other VNP-complete families

Let clique $_n$  and mclique $_n$  be the polynomials

$$\text{clique}_n := \sum_{A \subseteq [2n], |A|=n} \prod_{i < j \in [2n]} x_{i,j}, \quad \text{mclique}_n := \text{clique}_n(x_{1,n+1}, \dots, x_{n,2n} := 0).$$

They are both homogeneous of degree  $n(n-1)/2$ . clique $_n$  counts the number of cliques of size  $n$  in a  $2n$ -vertex graph. We can think of mclique $_n$  as counting  $n$ -cliques in a special kind of graph, which we call a *graph with forbidden matching*. This is a graph on  $2n$  vertices  $a_1, \dots, a_n, b_1, \dots, b_n$  such that there is no edge between  $a_i$  and  $b_i$  for every  $i \in [n]$ . We note that completeness of clique could be proved directly via parsimonious reductions to 3-SAT. mclique is more interesting, because the corresponding decision problem is in polynomial time:

**Observation 12.** *Given a  $2n$ -vertex graph  $G$  with forbidden matching, we can decide in polynomial time whether it contains a clique of size  $n$ .*

*Proof.* We assume that the forbidden matching is part of the input (otherwise, we can find it in polynomial time by finding a perfect matching in the complementary graph). Note that every  $n$ -clique in  $G$  must contain

precisely one of the vertices  $a_i, b_i$  for every  $i \in [n]$ . Identifying  $a_i$  with  $i$  and  $b_i$  with  $i + n$ , we then see that  $G$  has an  $n$ -clique iff the following clauses are satisfiable

$$x_i \vee x_{i+n}, \quad i \in [n], \quad \neg x_j \vee \neg x_k, \quad \text{for all } j \neq k \in [2n] \text{ such that } j, k \text{ are not incident.}$$

This is a set of 2-clauses and its satisfiability can be determined in polynomial time.  $\square$

We also define the *subgraph counting polynomial* and *disjoint subgraph polynomial* by

$$\text{CS}_n := \sum_{A \subseteq [n], B \subseteq A^{(2)}} \left( \prod_{i \in A} x_i \prod_{\langle j, k \rangle \in B} x_{j, k} \right), \quad \text{DS}_n := \sum_{A \subseteq [n], B \subseteq ([n] \setminus A)^{(2)}} \left( \prod_{i \in A} x_i \prod_{\langle j, k \rangle \in B} x_{j, k} \right). \quad (6)$$

Here  $A^{(2)} := \{\langle j, k \rangle : j < k \in A\}$ . The motivation is the following: if  $B \subseteq A^{(2)}$  then  $B$  can be viewed as a set of edges on vertices  $A$ , and so  $(B, A)$  is a subgraph of the complete  $n$ -vertex graph.

Finally, we present two polynomials counting edge-coverings of a graph

$$\text{EC}_n^* := \sum_B \prod_{\langle j, k \rangle \in B} x_{j, k}, \quad \text{EC}_n := \sum_{|B| = \lceil 3n/4 \rceil} \prod_{\langle j, k \rangle \in B} x_{j, k},$$

where  $B$  ranges over  $B \subseteq [n]^{(2)}$  which form an edge cover of  $[n]$  – that is, such that  $v(B) = [n]$ , where  $v(B) := \{i, j : \langle i, j \rangle \in B\}$ . The factor  $3/4$  in  $\text{EC}_n$  is rather arbitrary. In the proof, it matters that  $1/2 < 3/4 < 1$ . Note that any  $n$ -vertex graph,  $n > 1$ , has a minimal edge cover of size between  $n/2$  and  $n - 1$ , where an edge cover of size  $n/2$  is a perfect matching.

**Theorem 13.** *The families  $\text{clique}_n, \text{mclique}_n, \text{CS}_n$  and  $\text{DS}_n$  are VNP complete over any field.  $\text{EC}_n^*$  and  $\text{EC}_n$  are VNP-complete in characteristics equal to two.*

We divide the proof into its constituent parts.

**clique<sub>n</sub> and mclique<sub>n</sub>.** This is by reduction to  $\text{clique}_n^*$ . Given an edge-weighted graph  $G$  on vertices  $a_1, \dots, a_n$ , consider the following graph  $H$  on  $2n$  vertices  $a_1, \dots, a_n, b_1, \dots, b_n$ .  $H$  is the union of  $G$ , a complete graph on  $b_1, \dots, b_n$ , as well as all edges  $\langle a_i, b_j \rangle$  such that  $j \neq i$ . All edges in  $H \setminus G$  have weight one. Every  $n$ -clique of  $H$  must contain precisely one of the vertices  $a_i, b_i$  for every  $i \in [n]$ , and is of the form  $\{a_i : i \in A\} \cup \{b_i : i \in [n] \setminus A\}$ , where  $\{a_i : i \in A\}$  is a clique in  $G$ . This provides a one-to-one correspondence between cliques of  $G$  and  $n$ -cliques of  $H$ , preserving clique-weight. This shows that  $\text{clique}_n^*$  is a projection of  $\text{mclique}_n$  and hence  $\{\text{mclique}_n\}$  is VNP-complete. By definition,  $\text{mclique}_n$  is a projection of  $\text{clique}_n$  and hence also  $\{\text{clique}_n\}$  is VNP-complete.  $\square$

To prove the rest of the theorem, we first note:

**Claim.** *The family  $\text{clique}_n^*|_{\bar{x}+1} := \sum_{A \subseteq [n]} \prod_{i < j \in A} (1 + x_{i, j})$  is VNP-complete.*

*Proof.* In general, if  $a \in \mathbb{F}$  and  $\{f_n\}$  is VNP-complete then so is  $\{f_n|_{\bar{x}+a}\}$ . Here,  $f_{\bar{x}+a}$  denotes the polynomial obtained by substituting  $z := z + a$ , for every variable  $z$  in  $f$ . First, if  $h$  is a projection of  $g$  then  $h_{\bar{x}+a}$  is a projection of  $g_{\bar{x}+a}$ . (For, if  $h(x_1, \dots, x_n) = g(q(y_1), \dots, q(y_n))$  with  $q(y_i) \in \mathbb{F} \cup \{x_1, \dots, x_n\}$  then  $h(x_1 + a, \dots, x_n + a) = g(q'(y_1) + a, \dots, q'(y_n) + a)$ , where:  $q'(y_i) := q(y_i)$ , if  $q(y_i)$  is a variable, and  $q'(y_i) = q(y_i) - a$  if  $q(y_i) \in \mathbb{F}$ ). Second, VNP-completeness of  $\{f_n\}$  gives that  $\{f_n|_{\bar{x}-a}\}$  is a  $p$ -projection of  $\{f_n\}$  and so  $\{f_n\}$  is a  $p$ -projection of  $\{f_n|_{\bar{x}+a}\}$ .  $\square$

**CS<sub>n</sub> and DS<sub>n</sub>.**  $\text{clique}_n^*|_{\bar{x}+1}$  can be rewritten as

$$\text{clique}_n^*|_{\bar{x}+1} = \sum_{A \subseteq [n]} \prod_{i < j \in A} (1 + x_{i, j}) = \sum_{A \subseteq [n], B \subseteq A^{(2)}} \prod_{\langle i, j \rangle \in B} x_{i, j}. \quad (7)$$

This is precisely the polynomial obtained by setting  $x_1, \dots, x_n$  to 1 in  $\text{CS}_n$  or  $\text{DS}_n$ .  $\square$

**Edge covers  $\text{EC}_n^*$ .** We work in characteristics two. We can further rewrite (7) as

$$\text{clique}_n^*|_{\bar{x}+1} = \sum_{B \subseteq [n]^{(2)}} \sum_{A^2 \supseteq B} \prod_{\langle j,k \rangle \in B} x_{j,k} = c(B) \sum_{B \subseteq [n]^{(2)}} \prod_{\langle j,k \rangle \in B} x_{j,k},$$

where  $c(B)$  is the number of sets  $A \subseteq [n]$  with  $B \subseteq A^{(2)}$ . Hence,  $c(B) = 2^{n-|v(B)|}$ . In characteristics 2, the only non-zero terms are those with  $v(B) = [n]$  corresponding to edge covers.  $\square$

**Edge covers  $\text{EC}_n$ .** This will be by reduction to  $\text{EC}_n^*$ . Given an edge-weighted graph  $G$  on  $n$  vertices, it is enough to find an edge-weighted graph  $H$  with  $m = O(n^2)$  vertices such that the sum of weights of edge-covers of  $G$  equals the sum of weights of edge-covers of size  $3m/4$  of  $H$ .

Given  $N$  and  $k$ , let  $G_{N,k}$  be the following graph on  $2N + 2k + 1$  vertices. The vertices are partitioned into sets  $\{a\}, A_1, A_2$ , and  $B_1, B_2$  with  $|A_1| = |A_2| = N$  and  $|B_1| = |B_2| = k$ . Its  $2N + k$  edges consist of all edges between  $a$  and  $A_1$ , a perfect matching between  $A_1$  and  $A_2$ , and a perfect matching between  $B_1$  and  $B_2$ . Every edge cover of  $G_{N,k}$  must contain the two matchings and at least one edge between  $a$  and  $A_1$ . Hence, every edge cover has size at least  $N + k + 1$  and the number of edge covers of size  $N + k + r$  is exactly  $\binom{N}{r}$  if  $0 < r \leq N$ . Furthermore, if  $N = 2^q - 1$  for some  $q \in \mathbb{N}$  then  $\binom{N}{r}$  is *odd* for every  $r \in [N]$ .

Let  $H$  be the disjoint union of  $G$  and  $G_{N,k}$ , where  $N$  is the smallest  $N > n(n-1)/2$  of the form  $N = 2^q - 1$ ,  $q \in \mathbb{N}$ . Edges in  $G_{N,k}$  are weighted by 1. We claim that, in characteristics 2,

$$\sum_{E \text{ edge cover of } G} w(E) = \sum_{E' \text{ edge cover of } H, |E'|=2N+k} w(E').$$

This is because every edge cover  $E$  of  $G$  with  $|E| = s$  can be extended to exactly  $\binom{N}{N-s}$  covers  $E'$  of  $H$  with  $|E'| = 2N + k$  and  $E = E' \cap G$ . The weight of  $E'$  equals the weight of  $E$  and  $\binom{N}{N-s}$  is odd. The graph  $H$  has  $v = n + 2N + 2k + 1$  vertices. If we choose  $k = N - 3(n+1)/2$ , the sum ranges over  $E'$  of size  $3v/4$ . (Without loss of generality, we assumed that  $n$  is odd.)  $\square$

This concludes the proof of Theorem 13. We remark that:

**Remark 14.** *By similar reductions, one can obtain VNP-completeness of analogous families defined on bipartite graphs. Namely, polynomials counting bicliques*

$$\sum_{A_1, A_2 \subseteq [n]} \prod_{i \in A_1, j \in A_2} x_{i,j}, \quad \sum_{A_1 \dot{\cup} A_2 = [n]} \prod_{i \in A_1, j \in A_2} x_{i,j},$$

*as well as polynomials counting edge covers in a bipartite graph.*

## 6 Defining functions and complexity of decision problems

In this section, we give a different perspective on Theorem 1, and discuss our VNP-complete families in terms of the complexity of their underlying decision problems.

With a boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , we have associated the polynomial  $\hat{f}$  which agrees with  $f$  on the boolean cube. There is different way how to obtain a multilinear polynomial from  $f$ , namely, as the polynomial whose coefficients are computed by  $f$ . More generally, if  $f : \{0, 1\}^n \rightarrow \mathbb{F}$ , let  $f^*$  be the polynomial in variables  $x = \{x_1, \dots, x_n\}$

$$f^* := \sum_{v \in \{0,1\}^n} f(v) x^v.$$

Hence, the function  $f$  computes the coefficient of  $x^v$  in  $f^*$ . We will call  $f$  the *defining function* of  $f^*$ . We can compare this with (2):  $\hat{f} = \sum_v x^v x_v f(v)$ . The difference between  $f^*$  and  $\hat{f}$  corresponds to generating function versus probability generating function of [2]. The two polynomials can be quite different. If 1 is the constant function from  $\{0, 1\}^2$  to  $\{0, 1\}$  then  $\hat{1} = 1$  whereas  $1^* = 1 + x_1 + x_2 + x_1 x_2$ . However, we observe that  $\hat{f}$  and  $f^*$  are polynomially related.

**Proposition 15.** *Let  $s_1$  and  $s_2$  be the circuit complexity of  $f^*$  and  $\hat{f}$ , respectively, where  $f : \{0, 1\}^n \rightarrow \mathbb{F}$ . Then  $s_1 = O(s_2 n^2)$  and  $s_2 = O(s_1 n^2)$ . Hence, VNP-hardness results of Theorem 1 and 7 hold for  $f^*$  instead of  $\hat{f}$ .*

*Proof.* The first equality was proved in Lemma 5, the second one follows similarly from (4).  $\square$

We believe that this is enough to reproduce the dichotomy results of [1] for both  $\hat{f}$  and  $f^*$  over fields of arbitrary characteristics.

**Defining functions of VNP-complete families** We now discuss the defining functions of the families from Section 5. For homogeneous polynomials, we consider slightly more general defining functions. If  $f(x)$  is a homogeneous polynomial of degree  $k$ , we will call  $g$  its *hom. defining function*, if  $f(x) = \sum_{|v|=k} g(v)x^v$ . We note:

- The defining function of  $\text{perm}_n^*$  and the hom. defining function of  $\text{perm}_n$  is an antimonotone 2-CNF. In contrast, the hom. defining function of  $\text{HC}_n$  is not in AC0.

This is because the defining function of  $\text{perm}_n^*$  (and the hom. defining function of  $\text{perm}_n$ ) checks whether a bipartite graph is a partial matching. This can be expressed as an antimonotone 2-CNF as in Section 3.1. For  $\text{HC}_n$ , the homogeneous defining function decides, given a graph with  $n$  edges and  $n$  vertices, whether it is a cycle (cf. [12]). For the polynomials in Section 5, we note the following:

- (i). The defining function of  $(\text{clique}_n^* - n)$ ,  $\text{DS}_n$  and  $\text{EC}_n^*$  is a 3-CNF, antimonotone 2-CNF and a monotone CNF of polynomial size, respectively.
- (ii). The hom. defining function of  $\text{clique}_n$ ,  $\text{mclique}_n$  and  $\text{EC}_n$  is a 3-CNF, antimonotone 2-CNF and a monotone CNF of polynomial size, respectively.

**Underlying decision problems of VNP-complete families** Let  $\{f_n\}$  be a family of multilinear polynomials with 0, 1-coefficients such that  $f_n$  is in  $m_n$  variables. With  $\{f_n\}$ , we associate the following decision problem:

*Given  $n \in \mathbb{N}$ ,  $v \in \{0, 1\}^{m_n}$ , and  $k \leq m_n$ , decide whether there exists  $u \in \{0, 1\}^{m_n}$  such that<sup>3</sup>  $u \leq v$ ,  $|u| = k$  and  $x^u$  has coefficient equal to 1 in  $f_n$ .*

In characteristics zero, this is equivalent to checking whether  $f^{(k)}(v) \neq 0$ , where  $f^{(k)}$  is the  $k$ -homogeneous part of  $f$ . For a family consisting of homogeneous polynomials, the parameter  $k$  can be dropped. For example, the decision problem associated with  $\text{perm}_n^*$  consists in checking whether a bipartite graph has a matching of size  $k$ , and a perfect matching in the case of  $\text{perm}_n$ . Hence, we note:

- The decision problem associated with  $\text{perm}_n$  or  $\text{perm}_n^*$  is in P. For  $\text{HC}_n$ , it is NP-hard.

As for the polynomials in Section 5, we note

**Proposition 16.** *The decision problem associated with  $(\text{clique}_n^* - n)$  or  $\text{clique}_n$  is NP-hard. For the other families in Theorem 13, the decision problem is in P.*

*Proof.* The first part follows from NP-hardness of deciding whether a  $2n$ -vertex graph has an  $n$ -clique. For  $\text{mclique}_n$ , the statement is given by Observation 12.  $\text{EC}_n$  and  $\text{EC}_n^*$  follow from the fact that a smallest edge cover can be found in polynomial time. The decision problem associated with  $\text{CS}_n$  amounts to the following: given a graph  $G = (V, E)$  and  $k \in \mathbb{N}$ , decide whether there exists a subgraph  $G' = (V', E')$  with  $|V'| + |E'| = k$ . Such a subgraph exists if and only if  $k \leq |V| + |E|$ : if  $k \leq |V|$  we can remove all but  $k - |V|$  edges to achieve  $|V| + |E'| = k$ . If  $k < |V|$ , remove all edges and all but  $k$  vertices.  $\text{DS}_n$  is similar.  $\square$

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<sup>3</sup> $u \leq v$  means  $u_i \leq v_i$  for every  $i \in [m_n]$

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