

## Exercises for Mathematical Logic (Fall 2023/24)

We have seen in the lecture that the De Morgan language  $\{\wedge, \vee, \neg, \top, \perp\}$  is functionally complete, and specifically, that every Boolean function can be represented by a CNF or DNF of size  $O(2^n)$ .

1. Prove that  $\{\vee, \neg\}$ ,  $\{\rightarrow, \perp\}$ , and  $\{\uparrow\}$  are functionally complete, where  $x \uparrow y$  denotes the Sheffer stroke  $\neg(x \wedge y)$ .

2. Prove that  $\{\rightarrow\}$ ,  $\{\wedge, \vee, \top, \perp\}$ , and  $\{\leftrightarrow, \top, \perp\}$  are not functionally complete.

[Hint: Find a nontrivial property of Boolean functions which is preserved by composition, and holds for functions in the given basis.]

3. For any Boolean function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , the following are equivalent:

(i)  $\{f\}$  is functionally complete.

(ii)  $f(0, \dots, 0) = 1$ ,  $f(1, \dots, 1) = 0$ , and there exists an assignment  $\langle e_0, \dots, e_{n-1} \rangle \in \{0, 1\}^n$  such that  $f(e_0, \dots, e_{n-1}) = f(\neg e_0, \dots, \neg e_{n-1})$ .

[Hint: For (ii)  $\rightarrow$  (i), look at functions obtained from  $f$  by identifying some of the variables.]

4. For any  $n \in \mathbb{N}$ , the *parity* function  $\bigoplus_{i < n} x_i: \{0, 1\}^n \rightarrow \{0, 1\}$  is defined as  $\sum_{i < n} x_i \bmod 2$ . Show that any DNF or CNF representing  $\bigoplus_{i < n} x_i$  has size  $\Omega(2^n)$ . [Hint: What terms of the form  $\bigwedge_{i \in I} x_i^{e_i}$  can imply one of  $\bigoplus_{i < n} x_i = 0$  or  $\bigoplus_{i < n} x_i = 1$ ? Here,  $I \subseteq [n]$ ,  $e_i \in \{0, 1\}$ ,  $x^1 = x$ ,  $x^0 = \neg x$ .]

5. There are formulas representing  $\bigoplus_{i < n} x_i$  of size  $O(n^c)$  for some constant  $c$ .

[Hint: Consider a balanced tree of binary parities. You may get it down to  $c = 2$ .]

6. Any DNF equivalent to the CNF  $\bigwedge_{i < n} (x_i \vee y_i)$  has size  $\Omega(2^n)$ .

7. Prove the propositional soundness theorem: for all  $\Gamma \subseteq \text{Prop}(A)$  and  $\varphi \in \text{Prop}(A)$ , if  $\Gamma \vdash \varphi$ , then  $\Gamma \models \varphi$ .

8. Let  $\Gamma, \Delta \subseteq \text{Prop}(A)$  and  $\varphi, \psi \in \text{Prop}(A)$ . Show that if  $\Gamma \vdash \varphi$  and  $\Delta, \varphi \vdash \psi$ , then  $\Gamma, \Delta \vdash \psi$ .

9. For every  $\varphi \in \text{Prop}(A)$ , we define its *De Morgan dual*  $\varphi^d \in \text{Prop}(A)$  by induction on the complexity of  $\varphi$ :

$$\begin{aligned} a^d &= a, & a \in A, & & (\neg\varphi)^d &= \neg(\varphi^d), \\ \top^d &= \perp, & & & \perp^d &= \top, \\ (\varphi \wedge \psi)^d &= (\varphi^d \vee \psi^d), & & & (\varphi \vee \psi)^d &= (\varphi^d \wedge \psi^d). \end{aligned}$$

Show that for all assignments  $v: A \rightarrow \{0, 1\}$ ,  $v(\varphi^d) = v_{\neg}(\neg\varphi)$ , where  $v_{\neg}: A \rightarrow \{0, 1\}$  is the assignment defined by  $v_{\neg}(a) = 1 - v(a)$  for each  $a \in A$ .

10. Let  $\varphi, \psi \in \text{Prop}(A)$ .

(i)  $\varphi \equiv \psi$  if and only if  $\varphi^d \equiv \psi^d$ .

(ii)  $\varphi \models \psi$  if and only if  $\psi^d \models \varphi^d$ .

11. (If you are familiar with topology.) Give a direct proof of the propositional compactness theorem, not using the completeness theorem.

[Hint: Consider the product topology on the set  $\{0, 1\}^A$  of all assignments.]

In the lecture, we have proved completeness of a proof system using connectives  $\{\rightarrow, \perp\}$ . A complete system using the De Morgan language  $\{\wedge, \vee, \neg, \perp, \top\}$  is given in the van den Dries lecture notes, but the next exercise shows how to construct one mechanically.

**12.** For any  $\{\rightarrow, \perp\}$ -formula  $\varphi$ , let  $\varphi^*$  denote the De Morgan formula such that  $p^* = p$  for atoms  $p$ ,  $\perp^* = \perp$ , and  $(\varphi \rightarrow \psi)^* = (\neg\varphi^* \vee \psi^*)$ . Similarly, given a De Morgan formula  $\psi$ , let  $\psi^\#$  be its translation to a  $\{\rightarrow, \perp\}$ -formula using fixed  $\{\rightarrow, \perp\}$ -translations of all De Morgan connectives. Let  $\vdash_0$  denote a sound and complete Hilbert-style proof system for  $\{\rightarrow, \perp\}$ -formulas such as the one given in the lecture, and let  $\vdash_1$  be the Hilbert-style proof system in the De Morgan language that has inference rule schemata  $\varphi_1^*, \dots, \varphi_k^* / \varphi_0^*$  for each rule schema  $\varphi_1, \dots, \varphi_k / \varphi_0$  of  $\vdash_0$  (where axioms are treated as rules with  $k = 0$ ), and axiom schemata  $\neg c(\varphi_0, \dots, \varphi_{k-1}) \vee c^{\#*}(\varphi_0, \dots, \varphi_{k-1})$ ,  $\neg c^{\#*}(\varphi_0, \dots, \varphi_{k-1}) \vee c(\varphi_0, \dots, \varphi_{k-1})$  for each  $k$ -ary De Morgan connective  $c$ . Then  $\vdash_1$  is a sound and complete proof system in the De Morgan language. [Hint: You will need to show  $\vdash_1 \neg\psi \vee \psi^{\#*}$ ,  $\vdash_1 \neg\psi^{\#*} \vee \psi$  for all De Morgan formulas  $\psi$ .]

**13.** A set of propositional or first-order sentences  $S$  is *independent* if  $S$  is not equivalent to  $S'$  for any proper subset  $S' \subsetneq S$ .

(i)  $S$  is independent iff  $S \setminus \{\varphi\} \not\models \varphi$  for all  $\varphi \in S$ .

(ii) Show that every countable theory  $T$  has an independent axiomatization, i.e., an independent set of sentences  $S$  equivalent to  $T$ . [Hint: Try to generalize the fact that  $\{\varphi, \psi\} \equiv \{\varphi, \psi \vee \neg\varphi\}$ .]

(Uncountable theories have independent axiomatizations as well by a theorem of I. Reznikoff, but this is more difficult to prove.)

**14.** Prove that if a term  $t(x_0, \dots, x_{n-1}, y)$  is free for  $y$  in a formula  $\varphi(x_0, \dots, x_{n-1}, y)$ , then for all terms  $s_0, \dots, s_{n-1}, r$ , the formula  $(\varphi(t/y))(s_0/x_0, \dots, s_{n-1}/x_{n-1}, r/y)$  is syntactically identical to the formula  $\varphi(s_0/x_0, \dots, s_{n-1}/x_{n-1}, t(s_0/x_0, \dots, s_{n-1}/x_{n-1}, r/y)/y)$ .

**15.** Consider a modification of the first-order proof system given in the lecture such that the axioms of equality are replaced with the axiom  $x = x$  and the axiom schema  $t = s \wedge \varphi(t/s) \rightarrow \varphi(s/x)$  for all formulas  $\varphi$  and terms  $t, s$  free for  $x$  in  $\varphi$ . Show that this is equivalent to the original proof system.

**16.** For any formula  $\varphi(x)$  and variable  $y$  free for  $x$  in  $\varphi$ , show that the formula  $\exists y(\exists x \varphi(x) \rightarrow \varphi(y))$  is provable.

**17.** Let  $\mathcal{A}$  be an  $L$ -structure,  $t$  a closed  $L$ -term such that  $t^{\mathcal{A}} = a \in A$ , and  $\varphi(x)$  an  $L$ -formula. Show that  $\mathcal{A} \models \phi(t)$  iff  $\mathcal{A} \models \phi(a)$ .

**18.** Using Vaught's test, show the completeness of the theory of a successor: it has a language with one unary function symbol  $s$ , and axioms  $s(x) = s(y) \rightarrow x = y$ ,  $\forall x \exists y s(y) = x$ , and  $s^n(x) \neq x$  for each  $n \in \mathbb{N}_{>0}$ , where  $s^n$  denotes the  $n$ -fold iteration of  $s$  (i.e.,  $s^0(x)$  is  $x$ , and  $s^{n+1}$  is  $s(s^n(x))$ ).

**19.** For each  $n \in \mathbb{N}$ , let  $P_n$  denote the path graph of length  $n$ , i.e., the structure  $\langle [n], E_n \rangle$ , where  $[n] = \{0, \dots, n-1\}$  and  $E_n = \{\langle i, j \rangle \in [n]^2 : |i - j| = 1\}$ . Show that there is no sentence  $\varphi$  such that for all  $n \in \mathbb{N}$ ,  $P_n \models \varphi$  iff  $n$  is odd. [Hint: Adapt the previous exercise.]

**20.** Fix a field  $F$ . The theory of vector spaces over  $F$  has a language consisting of the language  $\{+, -, 0\}$  of abelian groups and unary functions  $a \cdot x$  for each  $a \in F$ ; it has the usual algebraic axioms (axioms of abelian groups,  $ab \cdot x = a \cdot (b \cdot x)$ ,  $1 \cdot x = x$ ,  $(a+b) \cdot x = a \cdot x + b \cdot x$ ,  $a \cdot (x+y) = a \cdot x + a \cdot y$ ). Show that the theory of infinite vector spaces over  $F$  (i.e., with additional axioms  $\exists x_0 \dots \exists x_n \bigwedge_{i < j} x_i \neq x_j$  for  $n \in \mathbb{N}$ ) is complete and  $\kappa$ -categorical for all infinite  $\kappa > |F|$ . [Hint: Every vector space has a basis.]

**21.** An *atom* in a Boolean algebra  $\mathbf{A} = \langle A, 0, 1, \wedge, \vee, -, \leq \rangle$  is an element  $a \in A$  such that  $a > 0$ , but  $0 < x < a$  for no  $x \in A$ ;  $\mathbf{A}$  is *atomless* if  $0 \neq 1$  and  $\mathbf{A}$  has no atoms. Show that the theory of atomless Boolean algebras is  $\aleph_0$ -categorical, hence complete.

[Hint: Construct an isomorphism between two countable atomless Boolean algebras  $\mathbf{A}$  and  $\mathbf{B}$  by a back-and-forth argument, as a union of a sequence of isomorphisms between finite subalgebras. It might help to observe that if  $\mathbf{A}_0$  is a finite subalgebra of  $\mathbf{A}$ , and  $\mathbf{A}_1$  is the algebra generated by  $A_0 \cup \{b\}$  for some  $b \in A$ , then each atom of  $\mathbf{A}_0$  either remains an atom in  $\mathbf{A}_1$ , or splits into two atoms.]

**22.** Let  $L$  be a finite first-order language. Show that the following sets and functions are computable:

- (i) The set of  $L$ -terms.
- (ii) The set of  $L$ -formulas.
- (iii) The set of pairs  $(\varphi, x)$  where  $x$  is a free variable of an  $L$ -formula  $\varphi$ .
- (iv) The substitution function: given an  $L$ -formula  $\varphi$ , a variable  $x$ , and an  $L$ -term  $t$ , compute  $\varphi(t/x)$ .
- (v) The set of triples  $(\Gamma, \varphi, \pi)$  where  $\pi$  is a proof of an  $L$ -formula  $\varphi$  from a finite set of  $L$ -formulas  $\Gamma$ .

**23.** Prove  $\mathbb{Q} \vdash \forall x (x \leq \bar{n} \vee \bar{n} \leq x)$  for each  $n \in \mathbb{N}$ .

**24.**  $\mathbb{Q}$  proves  $x \cdot y = 0 \rightarrow x = 0 \vee y = 0$ , and more generally,  $x \cdot y = \bar{n} \rightarrow x = 0 \vee y \leq \bar{n}$  for each  $n \in \mathbb{N}$ .

**25.** The standard model  $\mathbb{N}$  extends to an  $L_{PA}$ -structure  $\mathbb{N}^\infty$  with domain  $\mathbb{N} \cup \{\infty\}$ ,  $\infty \notin \mathbb{N}$ , so that  $\mathbb{N}^\infty \models \mathbb{Q}$ . Moreover, we are free to choose  $(0 \cdot \infty)^{\mathbb{N}^\infty}$  in an arbitrary way (while the rest of the model is uniquely determined by the axioms of  $\mathbb{Q}$ ). Conclude that  $\mathbb{Q}$  does not prove any of the formulas  $S(x) \not\leq x$ ,  $x \cdot y = y \cdot x$ , or  $0 \cdot x \neq 1$ .

**26.**  $\mathbb{Q}$  does not prove  $x + y = y + x$  or  $0 + (x + y) = (0 + x) + y$ .

[Hint: Modify the previous exercise to a model with two “infinities”.]

**27.** All  $\Sigma_1$ -definable sets are semidecidable.

**28.** (Craig’s trick.) Every semidecidable theory is recursively axiomatizable. [Hint: Express  $\text{Thm}(T)$  as  $\exists y P(x, y)$  with  $P$  decidable. Given  $x = \ulcorner \varphi \urcorner$  and  $y$ , devise a sentence equivalent to  $\varphi$  that encodes  $y$ .]

**29.** Show that every decidable consistent theory  $T$  has a decidable completion. [Hint: Consider a completion procedure that enumerates sentences  $\varphi$  one by one, and extends the current list of axioms with  $\varphi$  or  $\neg\varphi$ , whichever maintains consistency with  $T$ .]

In the next three exercises, you will develop an alternative sequence encoding scheme due to Edward Nelson.

**30.** The set  $\{x : \exists n \in \mathbb{N} x = 2^n\}$  of powers of 2 is definable by a  $\Delta_0$  formula, not using the  $2^n$  function. [Hint: Consider the divisors of  $x$ .]

**31.** Consider an encoding of finite sets  $X \subseteq \mathbb{N}$  by pairs  $\langle r, w \rangle$  where the binary expansion of  $r$  acts as a “ruler” with marks at positions of 1s, and the binary expansion of  $w$  is a concatenation of binary expansions of elements of  $X$  such that each element occupies the position between two ruler marks. Show that the predicate “ $x$  is in the set encoded by  $\langle r, w \rangle$ ” is  $\Delta_0$ -definable.

**32.** Construct a  $\Delta_0$  encoding of finite sequences based on the previous exercise.