

Admissible rules and their complexity

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Outline of the talks

- 1 Logics and admissibility
- 2 Transitive modal logics
- 3 Toy model: logics of bounded depth
- 4 Projective formulas
- 5 Admissibility in clx logics
- 6 Problems and complexity classes
- 7 Complexity of derivability
- 8 Complexity of admissibility

Logics and admissibility

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Propositional logics

Propositional logic L :

Language: formulas built from atoms x_0, x_1, x_2, \dots using a fixed set of finitary connectives

Consequence relation: a relation $\Gamma \vdash_L \varphi$ between sets of formulas and formulas s.t.

- ▶ $\varphi \vdash_L \varphi$
- ▶ $\Gamma \vdash_L \varphi$ implies $\Gamma, \Delta \vdash_L \varphi$
- ▶ $\Gamma, \Delta \vdash_L \varphi$ and $\forall \psi \in \Delta \Gamma \vdash_L \psi$ imply $\Gamma \vdash_L \varphi$
- ▶ $\Gamma \vdash_L \varphi$ implies $\sigma(\Gamma) \vdash_L \sigma(\varphi)$ for every substitution σ

Unifiers and admissible rules

Γ, Δ : finite sets of formulas

L -unifier of Γ : substitution σ s.t. $\vdash_L \sigma(\varphi)$ for all $\varphi \in \Gamma$

Single-conclusion rule: Γ / φ

Multiple-conclusion rule: Γ / Δ

- ▶ Γ / Δ is L -derivable (or valid) if $\Gamma \vdash_L \delta$ for some $\delta \in \Delta$
- ▶ Γ / Δ is L -admissible (written as $\Gamma \vdash_L \Delta$) if every L -unifier of Γ also unifies some $\delta \in \Delta$

NB: Γ is L -unifiable iff $\Gamma \vdash_L \emptyset$

Examples

- ▶ **CPC**: admissible = derivable (structural completeness)
- ▶ **IPC** and intermediate logics admit **Kreisel–Putnam rule**:

$$\neg x \rightarrow y \vee z \vdash (\neg x \rightarrow y) \vee (\neg x \rightarrow z)$$

- ▶ $\Box x / x$ admissible in **K**, **K4**, derivable in **KT**, **S4**
- ▶ **Löb's rule** $\Box x \rightarrow x / x$ admissible in **K**, derivable in **GL**
- ▶ $\Diamond x \wedge \Diamond \neg x / \perp$ admissible in all normal modal logics
- ▶ $\perp \vdash_L \emptyset$ iff L is **consistent**
- ▶ L has the (modal) **disjunction property** iff

$$\Box x_1 \vee \dots \vee \Box x_n \vdash_L x_1, \dots, x_n \quad (n \geq 0)$$

- ▶ **Rule of margins** $x \rightarrow \Box x / x, \neg x$ admissible in **KT**, **KTB**

Basic questions

What rules are L -admissible?

- ▶ NB: \sim_L forms a (multiple-conclusion) consequence relation
- ▶ Semantic characterization of \sim_L by a class of models (algebras, Kripke models, ...)
- ▶ Syntactic presentation of \sim_L :
 - ▶ Basis of admissible rules = axiomatization of \sim_L over \vdash_L
 - ▶ Can we describe an explicit basis?
 - ▶ Are there finite bases? Independent bases?

How to check $\Gamma \sim_L \Delta$?

- ▶ Is admissibility algorithmically decidable?
- ▶ What is its computational complexity?

Algebraizable logics

L a logic, K a class of algebras (quasivariety)

L is (finitely) algebraizable wrt K if there are

- ▶ formulas $E(x, y) = \{\varepsilon_1(x, y), \dots, \varepsilon_n(x, y)\}$
- ▶ equations $T(x) = \{t_1(x) \approx s_1(x), \dots, t_m(x) \approx s_m(x)\}$

such that

- ▶ $\Gamma \vdash_L \varphi \Leftrightarrow T(\Gamma) \vDash_K T(\varphi)$
- ▶ $\Sigma \vDash_K t \approx s \Leftrightarrow E(\Sigma) \vdash_L E(t, s)$
- ▶ $x \Vdash_L E(T(x))$
- ▶ $x \approx y \not\vDash_K T(E(x, y))$

In modal logic: $T(x) = \{x \approx 1\}$, $E(x, y) = \{x \leftrightarrow y\}$,
 K is a variety of modal algebras

Elementary equational unification

Θ : equational theory (or a class of algebras)

$\Sigma = \{t_1 \approx s_1, \dots, t_n \approx s_n\}$ finite set of equations

Θ -unifier of Σ : a substitution σ s.t.

$$\sigma(t_1) =_{\Theta} \sigma(s_1), \dots, \sigma(t_n) =_{\Theta} \sigma(s_n)$$

$U_{\Theta}(\Sigma) =$ set of Θ -unifiers of Σ

If L is a logic algebraizable wrt a quasivariety K :

- ▶ L -unifier of $\varphi = K$ -unifier of $T(\varphi)$
- ▶ K -unifier of $t \approx s = L$ -unifier of $E(t, s)$

Properties of unifiers

Preorder on substitutions:

σ more general than τ ($\sigma \preceq_{\Theta} \tau$) if $\exists v v \circ \sigma =_{\Theta} \tau$

Complete set of unifiers (csu) of Σ : $S \subseteq U_{\Theta}(\Sigma)$ s.t.

$\forall \tau \in U_{\Theta}(\Sigma) \exists \sigma \in S (\sigma \preceq_{\Theta} \tau)$

Most general unifier (mgu) of Σ : σ s.t. $\{\sigma\}$ csu

Basic questions:

- ▶ Is Σ unifiable?
- ▶ Does every Σ a finite csu? Or even mgu (if unifiable)?
- ▶ Is it decidable if Σ is unifiable? Can we compute a csu?
- ▶ What is the computational complexity?

Rules \rightarrow algebraic clauses

L logic algebraizable wrt a quasivariety K

For simplicity: assume $|E(x, y)| = |T(x)| = 1$

Clause: (universally quantified) disjunction of atomic (= equations) and negated atomic formulas

Quasi-identity: clause with 1 positive literal

Rule Γ / Δ translates to a clause $T(\Gamma / \Delta)$:

$$\bigwedge_{\varphi \in \Gamma} T(\varphi) \rightarrow \bigvee_{\psi \in \Delta} T(\psi)$$

Γ / Δ single-conclusion rule $\implies T(\Gamma / \Delta)$ quasi-identity

Clauses \rightarrow rules

Conversely: clause $C = \bigwedge_{i < n} t_i \approx t'_i \rightarrow \bigvee_{j < m} s_j \approx s'_j$
translates to a rule $E(C)$:

$$\{E(t_i, t'_i) : i < n\} / \{E(s_j, s'_j) : j < m\}$$

C quasi-identity $\implies E(C)$ single-conclusion rule

- ▶ $(\Gamma / \Delta) \dashv\vdash_L E(T(\Gamma / \Delta))$
- ▶ $C \dashv\vdash_K T(E(C))$

(abusing the notation)

Admissible rules algebraically

Derivability:

- ▶ Single-concl. rules \iff quasiequational theory of K
- ▶ Multiple-concl. rules \iff clausal/universal theory of K

$$\Gamma \vdash_L \Delta \iff T(\Gamma/\Delta) \text{ holds in all } K\text{-algebras}$$

Admissibility:

$$\begin{aligned} \Gamma \sim_L \Delta &\iff T(\Gamma/\Delta) \text{ holds in free } K\text{-algebras} \\ &\iff F_K(\omega) \models T(\Gamma/\Delta) \\ &\iff F_K(n) \models T(\Gamma/\Delta) \text{ for all } n \in \omega \end{aligned}$$

Parameters

In applications, **propositional atoms** model both “variables” and “constants”

We don't want **substitution** for constants

Example (description logic):

① $\forall \text{child}.(\neg \text{HasSon} \sqcap \exists \text{spouse}.\top)$

② $\forall \text{child}.\forall \text{child}.\neg \text{Male} \sqcap \forall \text{child}.\text{Married}$

③ $\forall \text{child}.\forall \text{child}.\neg \text{Female} \sqcap \forall \text{child}.\text{Married}$

Good: Unify ① with ② by $\text{HasSon} \mapsto \exists \text{child}.\text{Male}$,
 $\text{Married} \mapsto \exists \text{spouse}.\top$

Bad: Unify ② with ③ by $\text{Male} \mapsto \text{Female}$

Admissibility with parameters

In unification theory, it is customary to consider unification with **unconstrained constants**

We consider setup with two kinds of atoms:

- ▶ **variables** $x_0, x_1, x_2, \dots \in \text{Var}$ (countable infinite set)
- ▶ **parameters (constants)** $p_0, p_1, p_2, \dots \in \text{Par}$
(countable, possibly finite)

Substitutions only modify **variables**, we require $\sigma(p_n) = p_n$

Adapt accordingly other notions:

- ▶ L -unifier, L -admissible rule, ...

Exception: **logics** are always assumed to be closed under substitution for parameters

Parameters as signature expansion

Admissibility/unification with parameters in L

\iff plain admissibility/unification in L^{Par} :

- ▶ language expanded with nullary connectives $p \in \text{Par}$
- ▶ $\vdash_{L^{\text{Par}}} =$ least consequence relation that contains \vdash_L

L algebraizable wrt $K \implies L^{\text{Par}}$ algebraizable wrt K^{Par} :

- ▶ arbitrary expansions of K -algebras with the new constants

L -admissibility with parameters

\iff validity in free K^{Par} -algebras

NB: $|\text{Par}| = m \implies$

$F_{K^{\text{Par}}}(n) \simeq F_K(n + m)$ with fixed valuation of m generators

Transitive modal logics

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Transitive modal logics

We consider **axiomatic extensions** of the logic **K4**:

- ▶ Language: Boolean connectives, \Box
- ▶ Consequence relation:
 - ▶ axioms of **CPC**
 - ▶ $\varphi, \varphi \rightarrow \psi \vdash \psi$
 - ▶ $\vdash \Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$
 - ▶ $\vdash \Box\varphi \rightarrow \Box\Box\varphi$
 - ▶ $\varphi \vdash \Box\varphi$

Algebraizable wrt the variety of **K4-algebras**:

Boolean algebras with operator \Box satisfying $\Box 1 = 1$,
 $\Box(a \wedge b) = \Box a \wedge \Box b$, $\Box a \leq \Box\Box a$

Frame semantics

Kripke frames: $\langle W, < \rangle$, $< \subseteq W \times W$ transitive

\implies dual **K4**-algebra $\langle \mathcal{P}(W), \square \rangle$, $\square X = W \setminus (W \setminus X) \downarrow$

General frames: $\langle W, <, A \rangle$, A subalgebra of $\langle \mathcal{P}(W), \square \rangle$

\implies dual **K4**-algebra A

Back: **K4**-algebra $A \implies$ dual frame $\langle \text{St}(A), <, \text{CO}(\text{St}(A)) \rangle$

duals of **K4**-algebras \simeq descriptive frames

We will use frame semantics as it is more convenient, but the general algebraic theory still applies

Convention: frame = general frame,
but finite frame = finite Kripke frame

Notation & terminology

$\langle W, < \rangle$ transitive frame, $u, v \in W$

- ▶ u reflexive $\iff u < u$, otherwise irreflexive
- ▶ $u \leq v \iff u < v$ or $u = v$ preorder
- ▶ $u \sim v \iff u \leq v$ and $v \leq u$ equivalence relation
equivalence classes = clusters:
 - ▶ reflexive/irreflexive
 - ▶ proper: size ≥ 2 (\implies reflexive)
 - ▶ $\text{cl}(u)$ = the cluster containing u
- ▶ $u \prec v \iff u < v$ and $v \not< u$ strict order
- ▶ $X \downarrow = \{u : \exists v \in X \ u < v\}$, $X \uparrow = \{\dots u \leq v\}$, $X \uparrow$, $X \uparrow$
- ▶ W rooted if $W = r \uparrow$ for some $r \in W$
 $\text{rcl}(W) = \text{cl}(r)$ root cluster

Examples of transitive logics

| logic | axiom (on top of K4) | finite rooted frames |
|--------------|---|----------------------------|
| S4 | $\Box x \rightarrow x$ | reflexive |
| D4 | $\Diamond \top$ | final clusters reflexive |
| GL | $\Box(\Box x \rightarrow x) \rightarrow \Box x$ | irreflexive |
| K4Grz | $\Box(\Box(x \rightarrow \Box x) \rightarrow x) \rightarrow \Box x$ | no proper clusters |
| K4.1 | $\Box \Diamond x \rightarrow \Diamond \Box x$ | no proper final clusters |
| K4.2 | $\Diamond \Box x \rightarrow \Box \Diamond x$ | unique final cluster |
| K4.3 | $\Box(\Box x \rightarrow y) \vee \Box(\Box y \rightarrow x)$ | linear (chain of clusters) |
| K4B | $x \rightarrow \Box \Diamond x$ | lone cluster |
| S5 | $= \mathbf{S4} \oplus \mathbf{B}$ | lone reflexive cluster |

and their various combinations

Shorthands: $\Diamond \varphi = \neg \Box \neg \varphi$, $\Box \varphi = \varphi \wedge \Box \varphi$, $\Diamond \varphi = \neg \Box \neg \varphi$

Frame measures

A frame $\langle W, <, A \rangle$ has various **invariants** in $\mathbb{N} \cup \{\infty\}$:

- ▶ **depth** = maximal length of strict chains
- ▶ **cluster size** = maximal size of clusters
- ▶ **width** = maximal size of antichains in rooted subframes
- ▶ **branching** = maximal number of immediate successor clusters of any point

A logic L has depth (cl. size, width) $\leq k$

\iff all descriptive L -frames have depth (cl. size, width) $\leq k$

$\iff L \supseteq \mathbf{K4BD}_k (\mathbf{K4BC}_k, \mathbf{K4BW}_k)$

Branching:

more complicated (directly works only for finite frames)

$L \supseteq \mathbf{K4BB}_k$

Frames for rules

$M = \langle W, <, \vDash \rangle$ Kripke model:

▶ $M \vDash \varphi \iff u \vDash \varphi$ for all $u \in W$

▶ $M \vDash \Gamma / \Delta \iff$

$M \vDash \varphi$ for all $\varphi \in \Gamma \implies M \vDash \psi$ for some $\psi \in \Delta$

$\langle W, <, A \rangle$ frame:

$W \vDash \Gamma / \Delta \iff \langle W, <, \vDash \rangle \vDash \Gamma / \Delta$ for all admissible \vDash

Validity of rules preserved by **p-morphic images**, but not by **generated subframes**

Only single-conclusion rules preserved by disjoint sums

Parametric frames

K4-algebras are dual to frames

K4^{Par}-algebras are dual to parametric frames $\langle W, <, A, \vDash_{\text{Par}} \rangle$

- ▶ $\langle W, <, A \rangle$ frame
- ▶ \vDash_{Par} fixed admissible valuation of parameters $p \in \text{Par}$

Model based on $\langle W, <, A, \vDash_{\text{Par}} \rangle$:

$\langle W, <, \vDash \rangle$ s.t.

- ▶ \vDash admissible valuation in the frame $\langle W, <, A \rangle$
- ▶ \vDash extends \vDash_{Par}

Canonical frames

Free L -algebras $F_L(V)$ are dual to canonical L -frames $C_L(V)$:

- ▶ points: maximal L -consistent subsets of $\text{Form}(V)$
- ▶ $X < Y \iff \forall \varphi (\Box \varphi \in X \Rightarrow \varphi \in Y)$
- ▶ $A =$ definable sets: $\{X : \varphi \in X\}, \varphi \in \text{Form}(V)$

Free L^{Par} -algebras $F_{L^{\text{Par}}}(V)$ are dual to canonical parametric frames $C_L(\text{Par}, V)$:

- ▶ underlying frame $C_L(\text{Par} \cup V)$
- ▶ $X \models p \iff p \in X$

Universal frames of finite rank (1)

Canonical frames are **too large**

But: their **top parts** have an explicit description

Universal model $M_{\mathbf{K4}}(V)$, $V \subseteq \text{Var}$ finite:

- ▶ start with empty model
- ▶ for each finite rooted model F with $C = \text{rcl}(F)$: if
 - ▶ points of C are distinguished by valuation of V ,
 - ▶ $F \setminus C$ is a generated submodel of $M_{\mathbf{K4}}(V)$, and
 - ▶ $\neg(F \setminus C \text{ is rooted, } \text{rcl}(F \setminus C) \text{ is reflexive, and includes a copy of } C \text{ wrt valuation})$

then extend $M_{\mathbf{K4}}(V)$ with a copy of C below $F \setminus C$
(unless there already is one)

Universal frames of finite rank (2)

Characterization:

$M_{\mathbf{K}4}(V)$ = unique model with valuation for V s.t.

- ▶ $M_{\mathbf{K}4}(V)$ is locally finite
(= rooted generated submodels are finite)
- ▶ each finite model with valuation for V has a unique p -morphism to $M_{\mathbf{K}4}(V)$

Universal frame $U_{\mathbf{K}4}(V)$ = underlying frame of $M_{\mathbf{K}4}(V)$

$P \subseteq \text{Par}$ finite:

Universal parametric frame $U_{\mathbf{K}4}(P, V)$ = underlying frame of $M_{\mathbf{K}4}(P \cup V)$ with its valuation of P

Universal frames of finite rank (3)

Generalization to $L \supseteq \mathbf{K4}$ with finite model property (fmp):

$M_L(V)$ = the part of $M_{\mathbf{K4}}(V)$ that's based on an L -frame

$\implies U_L(V), U_L(P, V)$

Properties:

- ▶ all finite subsets of $M_L(P, V)$ definable
- ▶ the dual of $U_L(P, V)$ is $F_{L^P}(V)$
- ▶ $U_L(P, V)$ is the top part of $C_L(P, V)$:
 - ▶ $U_L(P, V)$ generated subframe of $C_L(P, V)$
(the points of finite depth)
 - ▶ all remaining points of $C_L(P, V)$ see points of $U_L(P, V)$
of arbitrarily large depth
- ▶ all $\neq \emptyset$ admissible subsets of $C_L(P, V)$ intersect $U_L(P, V)$

Admissibility using universal frames

$P \subseteq \text{Par}$ finite, $\Gamma, \Delta \subseteq \text{Form}(P, \text{Var})$ finite, $L \supseteq \mathbf{K4}$ fmp

Summary:

$$\begin{aligned}\Gamma \sim_L \Delta &\iff \forall V \subseteq \text{Var} \text{ finite: } F_{LP}(V) \models \Gamma / \Delta \\ &\iff \forall V \subseteq \text{Var} \text{ finite: } C_L(P, V) \models \Gamma / \Delta \\ &\iff \forall V \subseteq \text{Var} \text{ finite: } \langle U_L(P, V), <, D, \models_P \rangle \models \Gamma / \Delta\end{aligned}$$

where $D =$ subsets definable in $M_L(P, V)$

Typically:

Validity in $U_L(P, V)$ is not difficult to characterize, but the restriction to D seriously complicates it

Toy model: logics of bounded depth

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Avoid the difficulties ...

If L is a logic of bounded depth:

- ▶ $C_L(P, V) = U_L(P, V)$
- ▶ $C_L(P, V)$ is a finite frame

⇒ admissibility easy to analyze

Teaser: Let L be a logic of bounded depth. If

- ▶ Par is finite, or
- ▶ the set of finite L -frames is decidable,

then L -unifiability is decidable.

Proof: $\Gamma \subseteq \text{Form}(P, \text{Var})$ is unifiable iff

$$\exists \models \langle U_L(P, \emptyset), \models \rangle \models \Gamma.$$

We can compute $U_L(P, \emptyset)$. QED

... but some remain

The characterization

$$\Gamma \vdash_L \Delta \iff U_L(P, V) \vDash \Gamma / \Delta \quad \forall V \text{ finite}$$

is not quite useful:

- ▶ $U_L(P, V)$ are too rigidly specified
- ▶ $U_L(P, V)$ are too large: $\approx 2^{2^{|P \cup V|}}$ (height \approx depth of L)
- ▶ we have no control over V , anyway

\implies need more convenient semantical description

L -extensible models

L logic of bounded depth, fix $P \subseteq \text{Par}$ finite

F finite rooted parametric L -frame, $C = \text{rcl}(F)$:

- ▶ F has **loosely separated root** if points of C are distinguished by valuation of parameters
- ▶ F has **separated root** if moreover $\neg(F \setminus C$ is rooted, $\text{rcl}(F \setminus C)$ is reflexive, and includes a copy of C wrt valuation)

W finite parametric L -frame:

- ▶ W is **L -extensible** if $\forall F$ with a separated root: if $F \setminus \text{rcl}(F) \subseteq \cdot W$, it extends to $F \subseteq \cdot W$
- ▶ W is **strongly L -extensible** if $\forall F$ with a loosely separated root ...

Extensibility and canonical frames

Example: $C_L(P, \emptyset)$ is the minimal L -extensible frame

More generally:

$C_L(P, V)$ is L -extensible for any finite $V \subseteq \text{Var}$

Converse:

W L -extensible \implies p -morphic image of some $C_L(P, V)$

Corollary: If W L -extensible,

$$\Gamma \sim_L \Delta \implies W \models \Gamma / \Delta$$

for all $\Gamma, \Delta \subseteq \text{Form}(P, \text{Var})$

Injectivity of extensible frames

W finite parametric L -frame

W is L -injective if \forall finite par. L -frames $F_0 \subseteq F_1$:

any p -morphism $F_0 \rightarrow W$ extends to a p -morphism $F_1 \rightarrow W$

Proposition: The following are equivalent:

- ▶ W is L -extensible
- ▶ W is L -injective
- ▶ W is a retract of some $C_L(P, V)$: there are p -morphisms

$$C_L(P, V) \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} W$$

s.t. $f \circ g = \text{id}_W$

Connections among the properties

Proposition: The following are equivalent:

- ▶ W is a p -morphic image of some $C_L(P, V)$
- ▶ $\Gamma \vdash_L \Delta \implies W \models \Gamma / \Delta$ for all $\Gamma, \Delta \subseteq \text{Form}(P, \text{Var})$

Warning: In general, $C_L(P, V)$ are **not** strongly L -extensible

strongly L -ext. $\xrightarrow{\quad} \xleftarrow{\quad}$ L -ext. $\xrightarrow{\quad} \xleftarrow{\quad}$ image of $C_L(P, V)$

Proposition: Any finite par. L -frame is a generated subframe of a strongly L -extensible frame

Corollary: Any L -extensible frame is a retract of a strongly L -extensible frame

Extensibility and admissible rules

Recall: L logic of bounded depth, $P \subseteq \text{Par}$ finite

Theorem: For any $\Gamma, \Delta \subseteq \text{Form}(P, \text{Var})$, TFAE:

- ▶ $\Gamma \vdash_L \Delta$
- ▶ Γ / Δ holds in all L -extensible frames
- ▶ Γ / Δ holds in all strongly L -extensible frames

L -extensible frames are structurally important

strongly L -extensible frames are simpler to define and a bit more robust to work with

Application

What to do next depends on the logic

Logics of bounded depth can still be quite wild

Tame subclass: logics of **bounded depth and width**

- ▶ finitely axiomatizable
- ▶ polynomial-size model property
- ▶ frames recognizable in polynomial time

Theorem: Let L be a logic of **bounded depth and width**, $P \subseteq \text{Par}$ finite and $\Gamma, \Delta \subseteq \text{Form}(P, \text{Var})$ of size n .

If $\Gamma \not\vdash_L \Delta$, then Γ / Δ fails in a **strongly L -extensible** model of size at most $\text{poly}(n2^{2^{|P|}})$.

In particular, \vdash_L is **decidable**.

Addendum: smaller models

For fixed finite P , the models are polynomial-size, but in general doubly-exponential

Let $\Sigma \subseteq \text{Form}$ finite, closed under subformulas

Σ -pruned L -extensible model: Like L -extensible, but when extending with a cluster C , allow it to shrink to a subset if satisfaction of Σ -formulas is preserved

Theorem: Let L be logic of bounded depth and width, $\Gamma \cup \Delta \subseteq \Sigma$. TFAE:

- ▶ $\Gamma \vdash_L \Delta$
- ▶ Γ / Δ holds in Σ -pruned L -extensible models of size $2^{O(n^2)}$

Projective formulas

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Historical note

Projective formulas introduced by [Ghilardi'00]:

- ▶ semantical characterization of projective formulas
- ▶ existence of projective approximations for extensible logics
 \implies unification finitary
- ▶ parameter-free case only

We generalize it to the setup with parameters

Motivation

In the case of logics of bounded depth, we saw:

Admissibility closely connected to injective L -frames

These are dual to projective L -algebras

Finitely presented projective L -algebras are described by projective formulas:

Definition: φ is L -projective if it has an L -unifier σ s.t.

$$\varphi \vdash_L \sigma(\psi) \leftrightarrow \psi \quad \forall \psi \in \text{Form}$$

- ▶ it suffices to check $\psi \in \text{Var}$
- ▶ general algebraizable logics: $x \leftrightarrow y$ stands for $E(x, y)$
- ▶ σ is a mgu of φ

Löwenheim substitutions

If $\sigma_1, \dots, \sigma_m$ are substitutions s.t.

$$\varphi \vdash_L \sigma_i(\psi) \leftrightarrow \psi \quad \forall \psi \in \text{Form}, \quad (*)$$

then this also holds for $\sigma_m \circ \dots \circ \sigma_1$

\implies build projective unifier **inductively** by small steps

Löwenheim substitutions satisfy (*):

Fix $\varphi \in \text{Form}(P, V)$, where $P \subseteq \text{Par}$ and $V \subseteq \text{Var}$ finite

Let $F = \langle f_x : x \in V \rangle$, each $f_x: \mathbf{2}^P \rightarrow \mathbf{2}$ Boolean function of the parameters:

$$\theta_{\varphi, F}(x) = (\Box \varphi \wedge x) \vee (\neg \Box \varphi \wedge f_x(\vec{p}))$$

$\theta_\varphi =$ composition of all $\theta_{\varphi, F}$ (in any order)

Characterization of projectivity

Theorem: Let $L \supseteq \mathbf{K4}$ fmp, $\varphi \in \text{Form}(P, V)$. TFAE:

- ▶ φ is projective
- ▶ θ_φ^N is a unifier of φ , where $N = (2^{|P|} + 1)|\varphi|$
- ▶ φ has the model extension property:

Definition:

- ▶ $\text{Mod}_L =$ finite rooted L -models
- ▶ $F, F' \in \text{Mod}_L$ are variants
if they only differ in valuation of variables in root cluster
- ▶ $M \subseteq \text{Mod}_L$ has the model extension property
if any $F \in \text{Mod}_L$ has a variant in M
whenever its proper rooted submodels belong to M
- ▶ φ has m.e.p. iff $\text{Mod}_L(\varphi) = \{F \in \text{Mod}_L : F \models \varphi\}$ does

Projective approximations

NB: projective formulas π are **admissibly saturated**:

$$\pi \sim_L \Delta \iff \pi \vdash_L \Delta$$

Π is a **projective approximation** of a formula φ if

- ▶ Π finite set of **projective formulas**
- ▶ $\varphi \sim_L \Pi$
- ▶ $\pi \vdash_L \varphi$ for each $\pi \in \Pi$

If φ has a projective approximation Π :

- ▶ the set of proj. unifiers of $\pi \in \Pi$ is a **finite csu** of φ
- ▶ $\varphi \sim_L \Delta \iff \pi \vdash_L \Delta$ for all $\pi \in \Pi$

Price: existence of proj. apx. needs **strong assumptions** on L

Cluster-extensible logics

$L \supseteq \mathbf{K4}$ fmp, $n \in \omega$, C finite cluster type:
irreflexive \bullet , k -element reflexive \textcircled{k}

A finite rooted frame F is of type $\langle C, n \rangle$ if

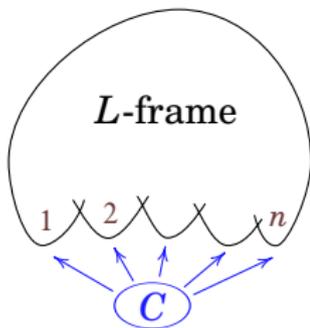
- ▶ $\text{rcl}(F)$ is of type C
- ▶ $\text{rcl}(F)$ has n immediate successor clusters (= branching n)

$L \langle C, n \rangle$ -extensible:

For each type- $\langle C, n \rangle$ frame F , if $F \setminus \text{rcl}(F)$
is an L -frame, then so is F

L cluster-extensible (clx):

$\langle C, n \rangle$ -extensible whenever it has some
type- $\langle C, n \rangle$ frame



Properties of clx logics

Examples: Any combinations of **K4**, **S4**, **GL**, **D4**, **K4Grz**, **K4.1**, **K4.3**, **K4B**, **S5**, **K4BB_k**, **K4BC_k**

Closed under joins and directed intersections
(countable complete lattice)

Nonexamples: **K4.2**, **S4.2**, ...

Theorem: Every clx logic L

- ▶ is finitely axiomatizable
- ▶ has the exponential-size model property
- ▶ is $\forall\exists$ -definable on finite frames
- ▶ is described by finitely many forbidden types $\langle C, n \rangle$
- ▶ is described by finitely many extension conditions:
 $\langle C, n \rangle$ where $n \in \omega \cup \{\infty\}$, C cluster type or ∞

Projective approximation in clx logics

Theorem: L clx logic \implies every formula φ has a projective approximation Π s.t.

- ▶ each $\pi \in \Pi$ is a Boolean combination of subformulas of φ
- ▶ $|\Pi| \leq 2^{2^n}$, $|\pi| = O(n2^n)$ for each $\pi \in \Pi$

Corollary:

- ▶ each φ has a finite csu
- ▶ we can compute it
- ▶ L -admissibility and L -unifiability are decidable

Admissibility in clx logics

- 1 Logics and admissibility
- 2 Transitive modal logics
- 3 Toy model: logics of bounded depth
- 4 Projective formulas
- 5 Admissibility in clx logics**
- 6 Problems and complexity classes
- 7 Complexity of derivability
- 8 Complexity of admissibility

Historical note

Admissibility in *transitive modal logics* studied in depth by [Rybakov'97]

- ▶ *semantical characterizations*
- ▶ *decidability results*
- ▶ *many results include parameters*

We follow a different route, based on Ghilardi's work on *projectivity*

Tight predecessors

$P \subseteq \text{Par}$ finite, C finite cluster type, $n \in \omega$

L clx logic, W parametric L -frame:

▶ W is $\langle C, n \rangle$ -extensible \iff

$$\forall E \subseteq \mathbf{2}^P, 0 < |E| \leq |C|$$

$$\forall X = \{w_i : i < n\} \subseteq W$$

\exists tight predecessor (tp) $T = \{u_e : e \in E\} \subseteq W$:

$$u_e \models P^e, \quad u_e \uparrow = \begin{cases} X \uparrow & C = \bullet \\ X \uparrow \cup T & C \text{ reflexive} \end{cases}$$

▶ W is L -extensible if it is $\langle C, n \rangle$ -extensible whenever L is

Extension rules

$P \subseteq \text{Par}$ finite, C finite cluster type, $n \in \omega$

$\langle C, n \rangle$ -extensible frames axiomatized by extension rules $\text{Ext}_{C,n}^P$:

▶ $C = \bullet$: for each $e \in 2^P$,

$$P^e \wedge \Box y \rightarrow \bigvee_{i < n} \Box x_i \quad / \quad \{\Box y \rightarrow x_i : i < n\}$$

▶ $C = \textcircled{k}$: for each $E \subseteq 2^P$ and $e_0 \in E$, where $|E| \leq k$,

$$\frac{\left[\begin{array}{l} P^{e_0} \wedge \Box \left(y \rightarrow \bigvee_{e \in E} \Box (P^e \rightarrow y) \right) \\ \wedge \bigwedge_{e \in E} \Box \left(\Box (P^e \rightarrow \Box y) \rightarrow y \right) \end{array} \right]}{\{\Box y \rightarrow x_i : i < n\}} \rightarrow \bigvee_{i < n} \Box x_i$$

Semantics of extension rules

Theorem: Let W be a parametric frame

- ▶ If W is $\langle C, n \rangle$ -extensible, then $W \models \text{Ext}_{C,n}^P$
- ▶ If $W \models \text{Ext}_{C,n}^P$, then W is $\langle C, n \rangle$ -extensible, provided W is descriptive or Kripke

Moreover: If L has fmp, then $L + \text{Ext}_{C,n}^P$ is complete wrt locally finite $\langle C, n \rangle$ -extensible Kripke frames

Theorem: If $L \supseteq \mathbf{K4}$ has fmp, TFAE:

- ▶ L is $\langle C, n \rangle$ -extensible
- ▶ $\text{Ext}_{C,n}$ is L -admissible

Characterization of admissibility

Theorem: If L is clx logic, TFAE:

- ▶ $\Gamma \vdash_L \Delta$
- ▶ Γ / Δ holds in all L -extensible parametric frames
- ▶ Γ / Δ holds in all locally finite L -extensible parametric Kripke frames
- ▶ Γ / Δ is derivable in $L + \{\text{Ext}_{C,n}^{\text{Par}} : L \text{ is } \langle C, n \rangle\text{-extensible}\}$

NB: To pass from a locally finite L -extensible countermodel to a definable valuation, use projective formulas

Bases of admissible rules

Corollary: If L is clx , the rules $\text{Ext}_{C,n}$ are a basis of L -admissible rules

Variation:

- ▶ Explicit single-conclusion bases
- ▶ If Par is finite:
 - ▶ L has a finite basis $\iff L$ has bounded branching
 - ▶ L has explicit independent bases (mc or sc)
- ▶ If Par is infinite:
 - ▶ No consistent logic has a finite basis
 - ▶ **Open problem:** independent bases?

Smaller models

L -extensible frames are usually infinite

Let $\Sigma \subseteq \text{Form}$ finite, closed under subformulas

Finite models L -pseudoextensible wrt Σ :

Like L -extensible, but instead of tp's, have tight pseudopredecessors wrt Σ

\approx behave as tp as concerns satisfaction of Σ -formulas

Theorem: If L clx logic and $\Gamma, \Delta \subseteq \Sigma$, TFAE:

- ▶ $\Gamma \vdash_L \Delta$
- ▶ Γ / Δ holds in all L -pseudoextensible models wrt Σ
- ▶ Γ / Δ holds in all L -pseudoextensible models wrt Σ of size $2^{O(n)}$

Variants of clx logics

Tweak the definition to cover other kinds of logics:

- ▶ Logics with a **single top cluster** (extensions of **K4.2**)
 - ▶ **Top-restricted** cluster-extensible (**tclx**) logics: extension conditions only for frames with a single top cluster
 - ▶ **Examples**: joins of **K4.2** with clx logics
- ▶ **Superintuitionistic logics**
 - ▶ Behave much like their **largest modal companions** (Blok–Esakia isomorphism)
 - ▶ The only (t)clx si logics are **IPC**, **T_n**, **KC**, **KC + T_n** (NB: **T₁ = LC**, **T₀ = CPC**)

Problems and complexity classes

- 1 Logics and admissibility
- 2 Transitive modal logics
- 3 Toy model: logics of bounded depth
- 4 Projective formulas
- 5 Admissibility in clx logics
- 6 Problems and complexity classes**
- 7 Complexity of derivability
- 8 Complexity of admissibility

Main questions

For a fixed logic L , what is the computational complexity of:

- ▶ Given Γ, Δ , is Γ / Δ L -admissible?
- ▶ Is a given Γ L -unifiable?

Here, Γ and Δ may be sets of formulas

- ▶ without parameters
- ▶ with parameters
- ▶ with $O(1)$ parameters

Recall: unifiability is a special case of inadmissibility

\implies typically, admissibility and unifiability captured by dual complexity classes

Ideally:

- ▶ lower bounds for unifiability
- ▶ upper bounds for admissibility

Complexity classes

Common classes of languages:

- ▶ P = deterministic polynomial time
- ▶ NP = nondeterministic polynomial time
- ▶ $coX = \{\Sigma^* \setminus L : L \in X\}$, e.g. $coNP$
- ▶ P^X = polynomial time with oracle from X , etc.
- ▶ polynomial hierarchy: $\Sigma_0^P = \Delta_0^P = \Pi_0^P = P$,

$$\Sigma_k^P = NP^{\Sigma_{k-1}^P}, \quad \Delta_k^P = P^{\Sigma_{k-1}^P}, \quad \Pi_k^P = coNP^{\Sigma_{k-1}^P}$$

- ▶ $PSPACE$ = polynomial space
- ▶ EXP = deterministic exponential (2^{n^c}) time
- ▶ $NEXP$ = nondeterministic exponential time
- ▶ exponential hierarchy:

$$\Sigma_k^{\exp} = NEXP^{\Sigma_{k-1}^P}, \quad \Delta_k^{\exp} = EXP^{\Sigma_{k-1}^P}, \quad \Pi_k^{\exp} = coNEXP^{\Sigma_{k-1}^P}$$

Alternating Turing machines

alternating Turing machine (ATM):

- ▶ multiple transitions from a given configuration (\approx NTM)
- ▶ states labelled **existential** or **universal**
- ▶ **acceptance** defined inductively:
 - ▶ configuration in an \exists state is accepting \iff
 \exists transition to an accepting configuration
 - ▶ configuration in a \forall state is accepting \iff
 \forall transitions lead to accepting configurations
- ▶ **alternation**: go from \exists state to \forall state or vice versa
- ▶ Σ_k -TIME($f(n)$): computable by ATM in time $f(n)$, start in \exists state, make $\leq k - 1$ alternations
- ▶ Π_k -TIME($f(n)$): start in \forall state
 - ▶ Σ_1 -TIME = NTIME, Π_1 -TIME = coNTIME

Expressing classes with ATMs

- ▶ **polynomial** and **exponential** hierarchies:

$$\begin{aligned}\Sigma_k^p &= \Sigma_k\text{-TIME}(\text{poly}(n)) & \Pi_k^p &= \Pi_k\text{-TIME}(\text{poly}(n)) \\ \Sigma_k^{\text{exp}} &= \Sigma_k\text{-TIME}(2^{\text{poly}(n)}) & \Pi_k^{\text{exp}} &= \Pi_k\text{-TIME}(2^{\text{poly}(n)})\end{aligned}$$

- ▶ $\text{PSPACE} = \text{AP}$ (alternating polynomial time)
- ▶ $\text{EXP} = \text{APSPACE}$ (alternating polynomial space)

Reductions and completeness

- ▶ Y (many-one) reducible to X if there is $f: \Sigma^* \rightarrow \Sigma^*$ s.t.

$$w \in Y \iff f(w) \in X,$$

f efficiently computable:

- ▶ polynomial-time
- ▶ logspace: in space $O(\log n)$, excluding input tape (read-only) and output tape (write-only)
- ▶ C a class: X is C -hard if every $Y \in C$ reduces to X
- ▶ X C -complete if $X \in C$ and C -hard

Examples:

- ▶ SAT is NP-complete, TAUT (ie, CPC) is coNP-complete
- ▶ QSAT is complete for AP = PSPACE

Completeness in exponential hierarchy

Theorem: Fix $k \geq 1$. The set of true Σ_k^2 sentences

$$\exists X_1 \subseteq 2^n \forall X_2 \subseteq 2^n \dots Q X_k \subseteq 2^n \overline{Q} t_1, \dots, t_m \in 2^n \varphi$$

is a Σ_k^{exp} -complete problem, where

- ▶ $2 = \{0, 1\}$
- ▶ $Q = \exists$ for k odd, \forall for k even
- ▶ $\overline{Q} =$ dual of Q
- ▶ n given in unary
- ▶ φ Boolean combination of atomic formulas $t_\alpha \in X_j$, $t_\alpha(i)$ ($i < n$ constant)

Complexity of derivability

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Derivability and tautologicity

Before admissibility, let's consider a baseline problem:

- ▶ Given Γ, Δ , is Γ / Δ L -derivable?

In transitive logics, this is equivalent to L -tautologicity:

- ▶ Given φ , is $\vdash_L \varphi$?

NB: Special case of L -admissibility, but also of L -unifiability with parameters:

$$\varphi \in \text{Form}(\text{Par}, \emptyset) \implies (\vdash_L \varphi \iff \varphi \text{ unifiable})$$

coNP cases

Lower bound: By reduction from **CPC**,
 L -derivability is **coNP-hard** for any consistent L

Upper bound: L -derivability is in **coNP** if:

- ▶ L has a **polynomial-size model property**
- ▶ finite L -frames are recognizable in **P** (or **NP**)

Corollary: L -derivability **coNP-complete** for:

- ▶ consistent **linear** (= width 1) **clx** logics
- ▶ consistent logics of **bounded depth** and **width**

PSPACE cases

Theorem [Ladner'77]

Derivability in **K**, **T**, **S4** is PSPACE-complete

For any $\mathbf{K} \subseteq L \subseteq \mathbf{S4}$, it is PSPACE-hard

Upper bound:

\approx explore proof tree/countermodel **one branch a time**

Can be adapted (bounded branching little tricky):

Theorem: Derivability in any **(t)clx** logic is in PSPACE

Lower bound:

- ▶ reduction from **QSAT**
- ▶ easily adapted to all logics with the **disjunction property**
 - ▶ reference?
 - ▶ **superintuitionistic** logics with DP: [Chagrov'85]

PSPACE lower bound

We give another generalization, using reduction from **IPC**

Theorem: Derivability is **PSPACE-hard** for all logics $L \supseteq \mathbf{K4}$ that are **subframe-universal for trees**

- ▶ **subreduction:** \approx p-morphism from a subframe
- ▶ **weak subreduction:** ignore reflexivity
- ▶ L **subframe-universal for trees** if \forall finite tree T
 \exists weak subreduction from an L -frame onto T
- ▶ **cofinal subreduction:** $\text{dom}(f)^\uparrow \subseteq \text{dom}(f)^\downarrow$
 \implies cofinal weak subreduction,
cofinally subframe-universal for trees

Applications of the lower bound

Theorem: All logics $L \supseteq \mathbf{K4}$ with the disjunction property are cofinally subframe-universal for trees

Corollary:

- ▶ Derivability in $L \supseteq \mathbf{K4}$ with DP is PSPACE-hard
- ▶ Derivability in nonlinear clx logics is PSPACE-complete
- ▶ Derivability is also PSPACE-complete in nonlinear tclx logics: $\mathbf{K4.2}$, $\mathbf{S4.2}$, ...

Complexity of admissibility

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Summary: clx logics

Completeness results for complexity of clx logics:

| logic | | $\not\vdash_L$ | unifiability, $\not\vdash_L$ | | | examples |
|----------------|----------------|----------------|------------------------------|--------|-----------|--|
| bran- ching | clust. size | | no [†] | $O(1)$ | any | |
| 0 | $< \infty$ | NP | | | Π_2^p | S5 \oplus Alt_k, CPC |
| | ∞ | | | | coNEXP | S5, K4B |
| 1 | $< \infty$ | | PSPACE | | | GL.3, LC |
| | ∞ | | | | | coNEXP |
| ≥ 2 | $< \infty$ | PSPACE | NEXP | | | GL, S4Grz, IPC |
| | ∞ | | | | | Σ_2^{exp} |

[†] The parameter-free case is for $\not\vdash_L$ only

Summary: tclx logics

Completeness results for complexity of tclx logics:

| logic | | \mathcal{K}_L | unifiability, \mathcal{H}_L | | | examples |
|---------------|------------|-----------------|-------------------------------|--------|-----|--|
| cluster size: | | | parameters: | | | |
| inner | top | | no [†] | $O(1)$ | any | |
| $< \infty$ | $< \infty$ | PSPACE | NEXP | | | GL.2, Grz.2, KC |
| | ∞ | | | | | S4.1.4 \oplus S4.2 |
| ∞ | | | | | | K4.2, S4.2 |

[†] The parameter-free case is for \mathcal{H}_L only

NB: branching ≥ 2 by definition

Summary: bounded depth and width

Complexity results for logics of bounded depth and width:

| logic | | $\not\vdash_L$ (†) | unif. | $\not\vdash_L$ | | notes |
|----------|--------------|-----------------------|---------------------------|---------------------|---------------------------|--------------------------|
| width | cluster size | | | sing.-c. | mult.-c. | |
| | | | (unrestricted parameters) | | | |
| 1 | $< \infty$ | NP | Π_{2d}^P | | | depth d |
| | ∞ | | coNEXP | | | |
| ≥ 2 | $< \infty$ | | NEXP | | | |
| | ∞ | | DEXP | BH_4^{exp} | $EXP^{\text{NP}[\log n]}$ | under certain conditions |
| | | | Θ_2^{exp} | | | |
| | | | Σ_2^{exp} | | | |

(†) also the complexity of unifiability and $\not\vdash_L$ with $O(1)$ parameters

Upper bounds

Semantic characterization:

pseudoextensible / pruned extensible models (size $2^{\text{poly}(n)}$)
 \implies inadmissibility in (t)clx or bd-dp-wd logics is in Σ_2^{exp} :

$$\exists \text{ model } \forall E \subseteq 2^P \dots$$

Optimization in certain cases:

- ▶ bounded cluster size:
 $\forall E \subseteq 2^P, |E| \leq k$ becomes a poly-size quantifier
- ▶ constant number of parameters: same reason
- ▶ width 1:
 - ▶ the model is an upside-down tree of clusters
 - ▶ an ATM can explore it while keeping only one branch

Lower bound conditions

Basic tenet: Hardness of L -unifiability stems from finite patterns that occur as subframes in L -frames

That is, study conditions of the form:

\exists an L -frame that subreduces to $F \implies L$ -unifiability C -hard

Example conditions:

- ▶ L has unbounded depth:
 L -frames weakly subreduce to arbitrary finite chains
- ▶ L has unbounded cluster size:
 L -frames subreduce to arbitrary reflexive clusters
- ▶ L is nonlinear ($=$ width $\geq 2 =$ branching ≥ 2):
an L -frame subreduces to a 2-prong fork

Lower bound technique

Reduce a C -complete problem to L -unifiability:

- ▶ PSPACE, Σ_k^P/Π_k^P : QSAT, Σ_k/Π_k -SAT
- ▶ $\Sigma_k^{\text{exp}}/\Pi_k^{\text{exp}}$: special Σ_k^2/Π_k^2 -sentences as above

Encode quantifiers:

- ▶ \exists simulated by **variables**, \forall by **parameters**
- ▶ $t \in 2^n$: directly by n -tuple of atoms
- ▶ $\forall X \subseteq 2^n$: parameter assignments realized in a **cluster**
- ▶ $\exists X \subseteq 2^n$: single variable x
 - ▶ use **antichains** to enforce consistency:
 - ▶ $u \models \sigma(x)$ unaffected by a change of parameters in $v \not\preceq u$

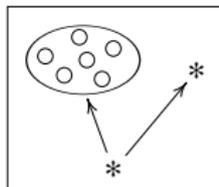
Σ_2^{exp} bounds

Lower bound:

If $\forall n$ an L -frame subreduces to a rooted frame containing

- ▶ an n -element cluster, and
- ▶ an incomparable point

$\implies L$ -unifiability is Σ_2^{exp} -hard



Upper bound:

L (t)clx or bd-dp-wd logic $\implies L$ -inadmissibility is in Σ_2^{exp}

Examples:

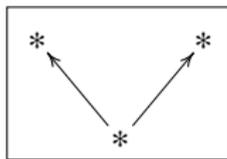
K4, S4, S4.1, S4.2, K4BB₂, S4BB₂BD₂, ...

NEXP bounds

Lower bound:

L nonlinear $\implies L$ -unifiability is NEXP-hard

($O(1)$ parameters? next slide)



Upper bounds:

- ▶ L (t)clx logic of bounded cluster size, or a tabular logic $\implies L$ -inadmissibility is in NEXP
- ▶ L (t)clx logic $\implies L$ -inadmissibility with $O(1)$ parameters is in NEXP

Examples:

GL, K4Grz, S4Grz, S4Grz.2, IPC, KC, ...

(\pm bounded branching)

NEXP lower bounds w/ $O(1)$ parameters

Need stronger hypothesis (cf. logics of bounded depth)

Theorem: The following problems are NEXP-hard

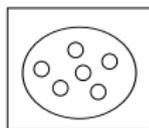
- ▶ L -unifiability with 2 parameters
 - ▶ if L subframe-universal for trees
- ▶ L -unifiability with 1 parameter
 - ▶ if L cofinally subframe-universal for trees (includes: logics with disjunction property)
 - ▶ if L subframe-universal respecting reflexivity
- ▶ L -unifiability with 0 parameters
 - ▶ if $\mathbf{K4} \subseteq L \subseteq \mathbf{K4.2GrzBB}_2$
- ▶ single-conclusion L -inadmissibility with 0 parameters
 - ▶ if L has a certain weak extension property
 - ▶ this includes: nonlinear clx logics

coNEXP bounds

Lower bound:

L unbounded cluster size

$\implies L$ -unifiability is coNEXP-hard



Upper bound:

L linear clx or bd-dp logic

$\implies L$ -inadmissibility is in coNEXP

Examples: S5, K4.3, S4.3, ...

PSPACE bounds

Lower bound:

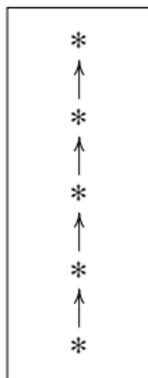
L unbounded depth \implies

L -unifiability with 2 parameters is PSPACE-hard

Corollary:

L -unifiability is PSPACE-hard

unless L linear tabular logic



Upper bound:

L linear clx logic of bounded cluster size

\implies L -admissibility is in PSPACE

Examples:

GL.3, K4Grz.3, S4Grz.3, LC, ...

Polynomial hierarchy

Recall: L -unifiability PSPACE-hard unless L linear tabular

Remaining case:

L linear tabular logic of depth $d \implies$

L -unification and L -inadmissibility are Π_{2d}^P -complete

Examples:

CPC, **G** _{$d+1$} , **S5** \oplus **Alt** _{k} , **K4** \oplus $\square \perp$, ...

L unbounded depth \implies PSPACE-hard with 2 parameters

Theorem:

L bd-dp-wd logic \implies

L -inadmissibility with $O(1)$ parameters is NP-complete

More exotic classes

Exponential version of Θ_2^P :

$$\Theta_2^{\text{exp}} = \text{EXP}^{\text{NP}[\text{poly}]} = \text{EXP}^{\|\text{NP}} = \text{P}^{\text{NEXP}} = \text{PSPACE}^{\text{NEXP}}$$

Exponential version of the Boolean hierarchy:

- ▶ BH^{exp} = closure of NEXP under Boolean operations
- ▶ Stratified into levels:
 - ▶ $\text{BH}_1^{\text{exp}} = \text{NEXP}$
 - ▶ $\text{BH}_{k+1}^{\text{exp}} = \{A \setminus B : A \in \text{NEXP}, B \in \text{BH}_k^{\text{exp}}\}$
- ▶ Special case:
 $\text{DEXP} = \text{BH}_2^{\text{exp}} = \{A \cap B : A \in \text{NEXP}, B \in \text{coNEXP}\}$

$$\text{NEXP}, \text{coNEXP} \subseteq \text{BH}^{\text{exp}} \subseteq \Theta_2^{\text{exp}} \subseteq \Delta_2^{\text{exp}}$$

Θ_2^{exp} bounds

Lower bound:

$\forall n \exists$ graph-connected L -frame of cluster size $\geq n$ and width ≥ 2
 $\implies L$ -unifiability is Θ_2^{exp} -hard

Upper bound:

L -admissibility is in Θ_2^{exp} if

- ▶ L is a tclx logic of bounded inner cluster size, or
- ▶ L is a bd-dp-wd logic, doesn't satisfy the Σ_2^{exp} LB condition

Example: **S4.2** \oplus **S4.1.4**

BH^{exp} bounds

The NEXP and coNEXP lower bounds imply:

Lower bound:

L nonlinear logic of unbounded cluster size

$\implies L$ -unifiability is DEXP-hard

Rare case where unifiability and inadmissibility (with parameters) have different complexity:

Upper bound:

L bd-dp-wd logic, doesn't satisfy the Θ_2^{exp} LB condition \implies

- ▶ L -unifiability is in DEXP
- ▶ single-conclusion L -inadmissibility is in BH_4^{exp}
- ▶ multiple-conclusion L -inadmissibility is in $\text{EXP}^{\text{NP}[\log n]}$

Full classification?

Known: complexity of unifiability for (t)clx, bd-dp-wd logics

Could it be determined for **all** logics $L \supseteq \mathbf{K4}$?

▶ **Hopeless:**

already \vdash_L can be undecidable, arbitrary Turing degree

▶ The form of results that we've seen:

▶ **Upper bounds:** tame, nicely-behaved logics

▶ **Lower bounds:** logics allowing certain frame patterns

\implies **downward-closed** classes of logics

▶ Determine **minimal** complexity of unifiability among sublogics of L ?

Definition: Unifiability has **hereditary hardness** C below L if

▶ L' -unifiability is C -hard for **all** $L' \subseteq L$

▶ L' -unifiability is in C for **some** $L' \subseteq L$

Hereditary hardness

Theorem: Below any $L \supseteq \mathbf{K4}$, one of the following applies:

| logic L | | | hereditary hardness of unifiability | witness $L' \subseteq L$ |
|-----------|-----------------|--|---|---|
| width | cluster size | extra condition | | |
| 1 | $< \infty$ | depth d | Π_{2d}^P | $= L$ |
| | | depth ∞ | PSPACE | K4.3BC_k |
| | ∞ | | coNEXP | K4.3 |
| ≥ 2 | $< \infty$ | | NEXP | K4BC_k |
| | ∞ | certain conditions (as before) | DEXP | $\mathbf{K4BC}_k \cap \mathbf{K4.3BIC}_k$ $\mathbf{D4.3} \cap \overline{\mathbf{D4BC}}_k$ $\mathbf{D4BC}_k \cap \mathbf{D4.3BIC}_k \cap \overline{\mathbf{D4.3}}$ |
| | | | Θ_2^{exp} | |
| | | | Σ_2^{exp} | K4 |

($\overline{\mathbf{D4}} = \mathbf{K4} \oplus \diamond \square \perp$, \mathbf{BIC}_k = bounded inner cluster size)

Except for DEXP, also applies to **inadmissibility**

Thank you for attention!

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