Generalizing the clone–coclone Galois connection

Emil Jeřábek

jerabek@math.cas.cz

http://math.cas.cz/~jerabek/

Institute of Mathematics of the Academy of Sciences, Prague

Topology, Algebra, and Categories in Logic, June 2015, Ischia

Clones and coclones: the classical case

Clones

Fix a base set B

Definition

A clone is a set $\mathcal{C}$ of functions $f\colon B^n \to B$, $n \geq 0$, s.t.

- the projections $\pi_{n,i}\colon B^n \to B$, $\pi_{n,i}(\vec{x}) = x_i$, are in $\mathcal{C}$
- $\mathcal{C}$ is closed under composition:
  if $g\colon B^m \to B$ and $f_i\colon B^n \to B$ are in $\mathcal{C}$, then

  $$h(\vec{x}) = g(f_0(\vec{x}), \dots, f_{m-1}(\vec{x}))\colon B^n \to B$$

  is in $\mathcal{C}$

Clones (cont'd)

- Clone generated by a set of functions $\mathcal{F}$

  $=$ term functions of the algebra $\langle B, \mathcal{F} \rangle$

  $=$ functions computable by circuits over B using $\mathcal{F}$-gates

  - Classical computing: clones on $B = \{0, 1\}$ completely classified by [Post41]

- Clones can be studied by means of relations they preserve

Preservation

$f\colon B^n \to B$ preserves $r \subseteq B^k$:

$$
\begin{array}{ccccccc}
a_0^0 & \cdots & a_j^0 & \cdots & a_{n-1}^0 & & b^0 \\
\vdots & & \vdots & & \vdots & f & \vdots \\
a_0^i & \cdots & a_j^i & \cdots & a_{n-1}^i & \longrightarrow & b^i \\
\vdots & & \vdots & & \vdots & & \vdots \\
a_0^{k-1} & \cdots & a_j^{k-1} & \cdots & a_{n-1}^{k-1} & & b^{k-1} \\
 & & & & & & \\
\pitchfork & \cdots & \pitchfork & \cdots & \pitchfork & \Longrightarrow & \pitchfork \\
r & & r & & r & & r
\end{array}
$$

Galois connection

$\mathcal{F}$ set of functions, $\mathcal{R}$ set of relations

Invariants and polymorphisms:

$$\mathrm{Inv}(\mathcal{F}) = \{r : \forall f \in \mathcal{F}\ f \text{ preserves } r\}$$
$$\mathrm{Pol}(\mathcal{R}) = \{f : \forall r \in \mathcal{R}\ f \text{ preserves } r\}$$

$\Longrightarrow$ Galois connection: $\mathcal{R} \subseteq \mathrm{Inv}(\mathcal{F}) \iff \mathcal{F} \subseteq \mathrm{Pol}(\mathcal{R})$

- $\mathrm{Pol}(\mathrm{Inv}(\mathcal{F}))$, $\mathrm{Inv}(\mathrm{Pol}(\mathcal{R}))$ closure operators
  closed sets = range of Pol, Inv (resp.)
- Inv, Pol are mutually inverse dual isomorphisms of the complete lattices of closed sets

Basic correspondence

Theorem [Gei68,BKKR69]

If B is finite:

- Galois-closed sets of functions = clones
- Galois-closed sets of relations = coclones

Definition

Coclone = set of relations closed under definitions by primitive positive FO formulas:

$$R(x^0,\dots,x^{k-1}) \Leftrightarrow \exists x^k,\dots,x^l \bigwedge_{i<m} \varphi_i(x^0,\dots,x^l)$$

where each φ_i is atomic

Coclones (cont'd)

Equivalently: a set of relations is a coclone if it contains the identity $x_0 = x_1$, and is closed under

- variable permutation and identification
- finite Cartesian products and intersections
- projection on a subset of variables

Closely related to constraint satisfaction problems

Variants

A host of generalizations of this Galois connection appear in the literature (e.g., [Isk71,Ros71,Ros83,Cou05,Ker12]):

- infinite base set
- partial functions, multifunctions
- functions $A^n \to B$
- categorial setting
- …

Interlude: reversible computing

Computation in the physical world

Conventional models:

computation can destroy the input on a whim

$$\langle x, y \rangle \mapsto x + y$$

Reality check:

Landauer's principle

Erasure of n bits of information incurs an $n\,k \log 2$ increase of entropy elsewhere in the system

$\implies$ dissipates energy as heat

The underlying time-evolution operators of quantum field theory are reversible

Reversible computing

Reversible computation models:
only allow operations that can be inverted

$$\langle x, y\rangle \mapsto \langle x, x+y\rangle$$

Typical formalisms: circuits using reversible gates

- Classical computing:
  - motivated by energy efficiency
  - n-bit reversible gate = permutation $\{0,1\}^n \to \{0,1\}^n$
- Quantum computing:
  - n qubits of memory = Hilbert space $\mathbb{C}^{2^n}$
  - quantum gate = unitary linear operator
    $\implies$ inherently reversible

Clones of reversible transformations

Reversible operations computable from a fixed set of gates:

- variable permutations, dummy variables
- composition
- ancilla bits: preset constant inputs, required to return to the original state at the end

$\Longrightarrow$ notion of "reversible clones"

Recently: [AGS15] gave complete classification for $B = \{0, 1\}$

($\approx$ Post's lattice for reversible operations)

Clones and coclones revamped

Goal

Generalize the clone–coclone Galois connection to encompass reversible clones

Let's first have a look at some simple reversible clones on $\{0,1\}$

Examples

- Conservative operations $f\colon \{0,1\}^n \to \{0,1\}^n$ preserve Hamming weight

$$f(\vec{a}) = \vec{b} \implies \sum_{i<n} a_i = \sum_{i<n} b_i$$

- Mod-k preserving operations:
  Hamming weight modulo k

$$f(\vec{a}) = \vec{b} \implies \sum_{i<n} a_i \equiv \sum_{i<n} b_i \pmod{k}$$

Permutations "can count": invariants can't be just relations

Examples (cont'd)

- Affine operations $f\colon \{0,1\}^n \to \{0,1\}^n$
  $f(\vec{x}) = A\vec{x} + \vec{c}$, where $\vec{c} \in \mathbb{F}_2^n$, $A \in \mathbb{F}_2^{n\times n}$ non-singular
  - $\iff$ each component $f_i\colon \{0,1\}^n \to \{0,1\}$ affine
  - classical invariant: f_i affine $\iff$ preserves the relation $a+b+c+d=0$ on $\mathbb{F}_2^4$
  - let $w\colon \mathbb{F}_2^4 \to \mathbb{F}_2$, $w(a^0,a^1,a^2,a^3) = a^0+a^1+a^2+a^3$
  - identify $\mathbb{F}_2 = \{0,1\} = \langle \{0,1\}, 0, \vee \rangle$
  - $f\colon \{0,1\}^n \to \{0,1\}^m$ affine $\iff$
    $f(a_0^0,\dots,a_{n-1}^0) = \langle b_0^0,\dots,b_{m-1}^0\rangle, \dots,$
    $f(a_0^3,\dots,a_{n-1}^3) = \langle b_0^3,\dots,b_{m-1}^3\rangle$
    implies
    $$\bigvee_{i<n} w(a_i^0,a_i^1,a_i^2,a_i^3) \ge \bigvee_{i<m} w(b_i^0,b_i^1,b_i^2,b_i^3)$$

General case

We consider a preservation relation between

- partial multifunctions $f\colon B^n \rightrightarrows B^m$
  - formally: $f \subseteq B^n \times B^m$, $n, m \geq 0$
  - $f(\vec{x}) \approx \vec{y}$ denotes $\langle \vec{x}, \vec{y} \rangle \in f$
  - $\mathrm{Pmf} = \bigcup_{n,m} \mathrm{Pmf}_{n,m}$
- "weight functions" $w\colon B^k \to M$
  - $\langle M, 1, \cdot, \leq \rangle$ partially ordered monoid, $k \geq 0$
  - $\mathrm{Wgt} = \bigcup_k \mathrm{Wgt}_k$

Preservation

$f\colon B^n \Rightarrow B^m$ preserves $w\colon B^k \to M$:

$$
\begin{array}{ccccc}
a_0^0 & \cdots & a_j^0 & \cdots & a_{n-1}^0 \\
\vdots & & \vdots & & \vdots \\
a_0^i & \cdots & a_j^i & \cdots & a_{n-1}^i \\
\vdots & & \vdots & & \vdots \\
a_0^{k-1} & \cdots & a_j^{k-1} & \cdots & a_{n-1}^{k-1}
\end{array}
\quad \xrightarrow{\;f\;} \quad
\begin{array}{ccc}
b_0^0 & \cdots & b_{m-1}^0 \\
\vdots & & \vdots \\
b_0^i & \cdots & b_{m-1}^i \\
\vdots & & \vdots \\
b_0^{k-1} & \cdots & b_{m-1}^{k-1}
\end{array}
$$

$$\downarrow w \qquad\qquad\qquad \downarrow$$

$$w(a_0) \cdots w(a_j) \cdots w(a_{n-1}) \quad \le \quad w(b_0) \cdots w(b_{m-1})$$

Invariants and polymorphisms

The preservation relation induces a Galois connection

Definition

If $\mathcal{F} \subseteq \mathrm{Pmf}$, $\mathcal{W} \subseteq \mathrm{Wgt}$:

$$\mathrm{Inv}(\mathcal{F}) = \{w \in \mathrm{Wgt} : \forall f \in \mathcal{F}\ f \text{ preserves } w\}$$
$$\mathrm{Pol}(\mathcal{W}) = \{f \in \mathrm{Pmf} : \forall w \in \mathcal{W}\ f \text{ preserves } w\}$$

What are the closed classes?

Clones

$\mathrm{Pol}(\mathcal{W})$ has the following properties:

Definition

$\mathcal{C} \subseteq \mathrm{Pmf}$ is a pmf clone if

- (identity) $\mathrm{id}_n \colon B^n \to B^n$ is in $\mathcal{C}$
- (composition) $f \colon B^n \Rightarrow B^m$, $g \colon B^m \Rightarrow B^r$ in $\mathcal{C}$
  $\Longrightarrow g \circ f \colon B^n \Rightarrow B^r$ in $\mathcal{C}$
- (products) $f \colon B^n \Rightarrow B^m$, $g \colon B^{n'} \Rightarrow B^{m'}$ in $\mathcal{C}$
  $\Longrightarrow f \times g \colon B^{n+n'} \Rightarrow B^{m+m'}$ in $\mathcal{C}$

  $$(f \times g)(x, x') \approx \langle y, y' \rangle \iff f(x) \approx y, g(x') \approx y'$$
- (topology) $\mathcal{C} \cap \mathrm{Pmf}_{n,m}$ is topologically closed ...

Topological closure

Two interesting topologies on $\{0,1\}$:

- $\{0,1\}_H$ discrete (Hausdorff)
- $\{0,1\}_S$ Sierpiński: $\{0\}$ closed, but $\{1\}$ not

Lemma

Let $C \subseteq \mathcal{P}(X) \approx \{0,1\}^X$. TFAE:

- C is closed in $\{0,1\}_S^X$
- C is closed in $\{0,1\}_H^X$ and under subsets
- C is closed under directed unions and subsets
- $Y \in C$ iff all finite $Y' \subseteq Y$ are in C

Previous slide: apply to $\mathrm{Pmf}_{n,m} = \mathcal{P}(B^n \times B^m)$

Coclones

$\mathrm{Inv}(\mathcal{F})$ has the following properties:

Definition

$\mathcal{D} \subseteq \mathrm{Wgt}$ is a weight coclone if

- (variable manipulation) $w\colon B^k \to M$ in $\mathcal{D}$, $\varrho\colon k \to l$
  $\implies w(x^{\varrho(0)}, \dots, x^{\varrho(k-1)})\colon B^l \to M$ in $\mathcal{D}$
- (homomorphisms) $w\colon B^k \to M$ in $\mathcal{D}$, $\varphi\colon M \to N$
  $\implies \varphi \circ w\colon B^k \to N$ in $\mathcal{D}$
- (direct products) $w_\alpha\colon B^k \to M_\alpha$ in $\mathcal{D}$ $(\alpha \in I)$
  $\implies \langle w_\alpha(x)\rangle_{\alpha\in I}\colon B^k \to \prod_{\alpha\in I} M_\alpha$ in $\mathcal{D}$
- (submonoids) $w\colon B^k \to M$ in $\mathcal{D}$, $w[B^k] \subseteq N \subseteq M$
  $\implies w\colon B^k \to N$ in $\mathcal{D}$

Galois connection

Main theorem

For any B:

- Galois-closed sets of pmf $=$ pmf clones
- Galois-closed classes of weights $=$ weight coclones

Smaller invariants

Invariants of a pmf clone $\mathcal{C}$ form a proper class

Better: $\mathcal{C} = \mathrm{Pol}(\mathcal{W})$ s.t. for each $w\colon B^k \to M$ in $\mathcal{W}$:

- M is generated by $w[B^k]$
  - call such weights tight
  - M finitely generated if B finite
- M is subdirectly irreducible (as a pomonoid)

Interesting case: (unordered) commutative monoids

- f.g. subdirectly irreducible are finite [Mal58]
- known structure [Sch66,Gri77]

Variants

We might want to restrict Pmf or Wgt,
or impose additional closure conditions, e.g.

- dimensions of $f \colon B^n \Rightarrow B^m$:
  - $n, m \geq 1$, $m = 1$, $n = m$
- "shape" of f:
  - (partial/total) functions, permutations
- constraints on monoids:
  - commutative, unordered
- constants, ancillas

Dimension constraints

$f\colon B^n \rightrightarrows B^m$ with simple restrictions on n, m form clones
$\Longrightarrow$ correspond to inclusion of particular weights:

- $n, m \geq 1$: constant weight $c_1\colon B^0 \to \langle\{0,1\}, 0, \vee, =\rangle$
- $n = m$: $c_1\colon B^0 \to \langle\mathbb{N}, 0, +, =\rangle$

$m = 1$: a clone $\mathcal{C}$ is determined by $f\colon B^n \rightrightarrows B$ iff it contains the diagonal maps $\Delta_n\colon B \to B^n$, $\Delta_n(x) = \langle x, \dots, x\rangle$

On the dual side:

- tight $w\colon B^k \to M$ in $\mathrm{Inv}(\mathcal{C})$ are $\{\wedge, \top\}$-semilattices
- subdirectly irreducible: $M = \langle\{0,1\}, 1, \wedge, \leq\rangle$
  $\Longrightarrow$ weight functions = relations
  $\Longrightarrow$ agrees with the classical description

Monoid restrictions

- Classes of weights $w\colon B^k \to M$ with M commutative
  $\iff$ clones containing variable permutations

$$\langle x_0, \dots, x_{n-1} \rangle \mapsto \langle x_{\pi(0)}, \dots, x_{\pi(n-1)} \rangle$$

- Classes of weights $w\colon B^k \to \langle M, 1, \cdot, = \rangle$
  (i.e., unordered monoids)
  $\iff$ clones closed under inverse

$$f\colon B^n \Rightarrow B^m \text{ in } \mathcal{C} \implies f^{-1}\colon B^m \Rightarrow B^n \text{ in } \mathcal{C}$$

Uniqueness conditions

Partial functions form a clone $\Longrightarrow$

$\mathcal{C}$ consists of partial functions iff
$\mathrm{Inv}(\mathcal{C})$ includes a particular weight:

- Kronecker delta $\delta\colon B^2 \to \langle \{0,1\}, 1, \wedge, \leq \rangle$

Symmetrically:

$\mathcal{C}$ consists of injective pmf iff
$\mathrm{Inv}(\mathcal{C})$ includes

$$\delta\colon B^2 \to \langle \{0,1\}, 1, \wedge, \geq \rangle$$

Totality conditions

In the classical case:

- totality of functions in $\mathcal{C} \iff$ closure of $\operatorname{Inv}(\mathcal{C})$ under existential quantification
- doesn't work well over infinite (uncountable) B

Definition

$w \colon B^{k+1} \to \langle M, 1, \cdot, \leq \rangle$ weight, $\langle M, 1, \cdot, 0, + \rangle$ semiring
Define $w^{+} \colon B^{k} \to \langle M, 1, \cdot, \leq \rangle$ by

$$w^{+}(x^0, \dots, x^{k-1}) = \sum_{u \in B} w(x^0, \dots, x^{k-1}, u)$$

Orders on semirings

Definition

- positively ordered semiring $= \langle M, 1, \cdot, 0, +, \leq \rangle$ s.t.
  - $\langle M, 1, \cdot, 0, + \rangle$ semiring
  - $\langle M, 1, \cdot, \leq \rangle$ and $\langle M, 0, +, \leq \rangle$ pomonoids, $0 \leq 1$

  $=$ partially ordered semiring with least element 0
- $\vee$-semiring $=$ idempotent positively ordered semiring
  - $+ = \vee$
- complete $\vee$-semiring:
  - $\vee$-semiring, complete lattice
  - infinite distributive laws

$$\Big(\bigvee_{i \in I} x_i\Big) y = \bigvee_{i \in I} x_i y \qquad y \bigvee_{i \in I} x_i = \bigvee_{i \in I} y x_i$$

Total clones

$\mathcal{C} = \mathrm{Pol}(\mathcal{D})$, $\mathcal{D} = \mathrm{Inv}(\mathcal{C})$

For B countable, the following are equivalent:

- $\mathcal{C}$ is generated by total multifunctions
- $w\colon B^{k+1} \to M$ is in $\mathcal{D}$, M is a complete $\vee$-semiring $\implies w^+\colon B^k \to M$ is in $\mathcal{D}$

A symmetric condition characterizes clones of surjective pmf

For B finite, TFAE:

- $\mathcal{C}$ is generated by mf extending a bijective function
- $w\colon B^{k+1} \to M$ is in $\mathcal{D}$, M is a positively ordered semiring $\implies w^+\colon B^k \to M$ is in $\mathcal{D}$

Ancillas

$\mathcal{C} = \mathrm{Pol}(\mathcal{D})$, $\mathcal{D} = \mathrm{Inv}(\mathcal{C})$

The following are equivalent:

- $\mathcal{C}$ supports ancillas
  $a \in B$, $f\colon B^{n+1} \Rightarrow B^{m+1}$ in $\mathcal{C} \implies f_a\colon B^n \Rightarrow B^m$ in $\mathcal{C}$

  $$f_a(\vec{x}) \approx \vec{y} \iff f(a, \vec{x}) \approx \langle a, \vec{y} \rangle$$

- $\mathcal{D}$ is generated by $w\colon B^k \to M$ s.t. the diagonal weights $z = w(u, \dots, u)$ for $u \in B$ are left-order-cancellative

  $$zx \le zy \implies x \le y$$

Interferes with totality, but it mostly sorts itself out

Summary

- The standard clone–coclone duality extends to a Galois connection between partial multifunctions $B^n \Rightarrow B^m$ and pomonoid-valued functions $B^k \to M$
- Gracefully restricts to natural subclasses, such as total functions $B^n \to B^m$

Question

- Does it generalize further?
- Is it connected to some known duality involving pomonoids?

Thank you for attention!

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